



B #:	1191-14
Date:	June 18, 2014
To:	All Participants
Category:	Operations
From:	Asset Services – Corporate Actions Transformation Product Management Team
Attention:	Operations Managers/Cashiers/Officers
Subject:	Corporate Actions Web (CA Web) Parallel Testing Period Begins on June 23, 2014

The Depository Trust Company's (DTC's) Corporate Action Transformation initiative introduces a major shift in the way DTC communicates corporate actions information to our clients. Key components of the transformation are the introduction of real time ISO 20022 messaging and a new browser that will replace PTS/PBS corporate actions functions.

DTCC clients will be required to transition to the new browser known as Corporate Actions Web (CA Web) in October 2014 for PTS/PBS functions supporting distribution events. This browser migration is mandatory for all clients as the legacy functions supporting active distribution events will be retired at that time.

To ensure a smooth transition, DTC is providing a parallel testing period beginning on June 23, 2014. During the testing period clients will have access to all CA Web functionality for distribution events as well as all the PTS/PBS functions slated for retirement. The parallel period will run until the hard cutover in October 2014. The exact date of the cutover will be announced in September.

The purpose of the parallel testing period is to give clients the opportunity to familiarize themselves with CA Web navigation and reconcile its screens to PTS/PBS. It's important that all clients learn to use the CA Web now so they can be fully prepared in October, when PTS functions supporting distribution events will no longer be available.

The functions and how they will be affected are as follows:

Scheduled To be Fully Retired in October 2014 and No Longer Available

- DUEB
- BOOK
- DAWN
- TAXI

Coexistence:

- SDAR - Department D (Distributions) will be retired
- SDAR - Departments C (Reorg) and SDAR – Department R (Redem) will be available

- ADJI – SLPO, which resides in ADJI, will be retired, however, history will be available for distributions events. ADJI will continue to be available for Reorganization and Redemption events

Available for historical purposes only:

- EDS – Instruction input will be retired, however, transaction history will be available
- DPAL – Historical results will be available
- DIVA – Historical events will be available
- PIAR – Cancel / reactivate functionality will not be available, however, historical results will be available

For information about gaining access to CA Web, see Important Notice [B# 0845-14](#).

Questions about the Corporate Actions Transformation initiative can be directed to your Relationship Manager or to the CA Transformation mailbox at CATransformation@dtcc.com.