



*Important Notice*

**Fixed Income Clearing Corporation - CMU**

<b>CMU #:</b>	CMU 003.14
<b>Date:</b>	June 18, 2014
<b>To:</b>	All NSCC Participants
<b>Category:</b>	Service Update
<b>From:</b>	FICC Product Management
<b>Attention:</b>	Managing Partner/Officer, Operations Partner/Officer, Compliance Officer
<b>Subject:</b>	Launch Date for Replatforming Initiative for Corporate Bond, Municipal Bond, UIT (CMU) Real Time Trade Matching (RTTM) System

Please see the attached NSCC Important Notice regarding the launch date for new CMU RTTM on Monday July 14, 2014.

If you have any questions regarding this or other CMU RTTM services, please contact your Relationship Manager, CNS Operations at 888-382-2721 options 2, option 2, the Client Account Representative Team at 212-855-4270 option 1, or contact the undersigned at 212-855-7623 or [efanning@dtcc.com](mailto:efanning@dtcc.com).

Ed Fanning  
Director, Product Management

.....

<b>A#:</b>	<b>7858</b>
<b>P&amp;S#:</b>	<b>7428</b>
<b>DATE:</b>	<b>JUNE 18, 2014</b>
<b>TO:</b>	<b>ALL PARTICIPANTS</b>
<b>ATTENTION:</b>	<b>MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER</b>
<b>FROM:</b>	<b>PRODUCT MANAGEMENT</b>
<b>SUBJECT:</b>	<b>LAUNCH DATE FOR REPLATFORMING INITIATIVE FOR CORPORATE BOND, MUNICIPAL BOND, UIT (CMU) REAL TIME TRADE MATCHING (RTTM) SYSTEM</b>

As detailed in Important Notices A 7672 dated January 30, 2014, A#7711 dated October 3, 2013 and A#7635 dated May 13, 2013<sup>1</sup>, NSCC's CMU RTTM system is undergoing a rewrite, which will

<sup>1</sup> <http://www.dtcc.com/~media/Files/pdf/2014/1/30/a7672.ashx>

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

modernize the CMU RTTM software, upgrade CMU RTTM Web, eliminate obsolete processing and port the application to DTCC approved hardware.

## **On Monday July 14, 2014 the new CMU RTTM system will be put into Production.**

### **Impact to Member Firms on July 14**

On Monday July 14, 2014 users of CMU RTTM Web must access the new CMU RTTM Web application via the DTCC Web Portal instead of the FICC Web Portal. Key points:

- CMU RTTM Web users should have already tested accessing new CMU RTTM Web. **Users should reconfirm now they can access the “Site is temporarily unavailable” screen (shown at bottom of this notice) by selecting ‘CMU’ (not ‘CMU RTTM PSE’) on the DTCC Web Portal.**
- **Users that cannot access the ‘Site is temporarily unavailable’ screen today will lose access to CMU RTM Web on July 14 unless they take immediate action to access new CMU RTTM Web.**
- **Users that cannot access the ‘Site is temporarily unavailable’ screen should confirm with their internal DTCC Super Access Coordinator that they have been provisioned for new CMU RTTM Web in Production. If you believe that has been done and still cannot access the site, please email [cmutesting@dtcc.com](mailto:cmutesting@dtcc.com).**
- **On July 14, users should select “CMU” on the DTCC Web Portal under Available Products to enter and search for trades. Do NOT select “CMU RTTM - PSE” in the DTCC Portal because this is for the CMU RTTM Test system (see screen shot below).**
- **On July 14, the current CMU RTTM Web screens will be removed from the FICC Web Portal.**

### **Proper Settings for new CMU RTTM Web**

- **Enable ‘Compatibility View’ if you using Internet Explorer (IE) 9 or higher. Go to Tools on IE to accomplish this.**
- **Turn off your Pop-Up Blocker. Use Tools to accomplish this.**

### **New CMU RTTM Web Training Guide**

The new **CMU RTTM Web Quick Reference Guide** is available at the CMU DTCC Learning Center in the Reference Media Section, under Documentation (note: you must register to access the CMU DTCC Learning Center). Here is the URL for the Learning Center:

---

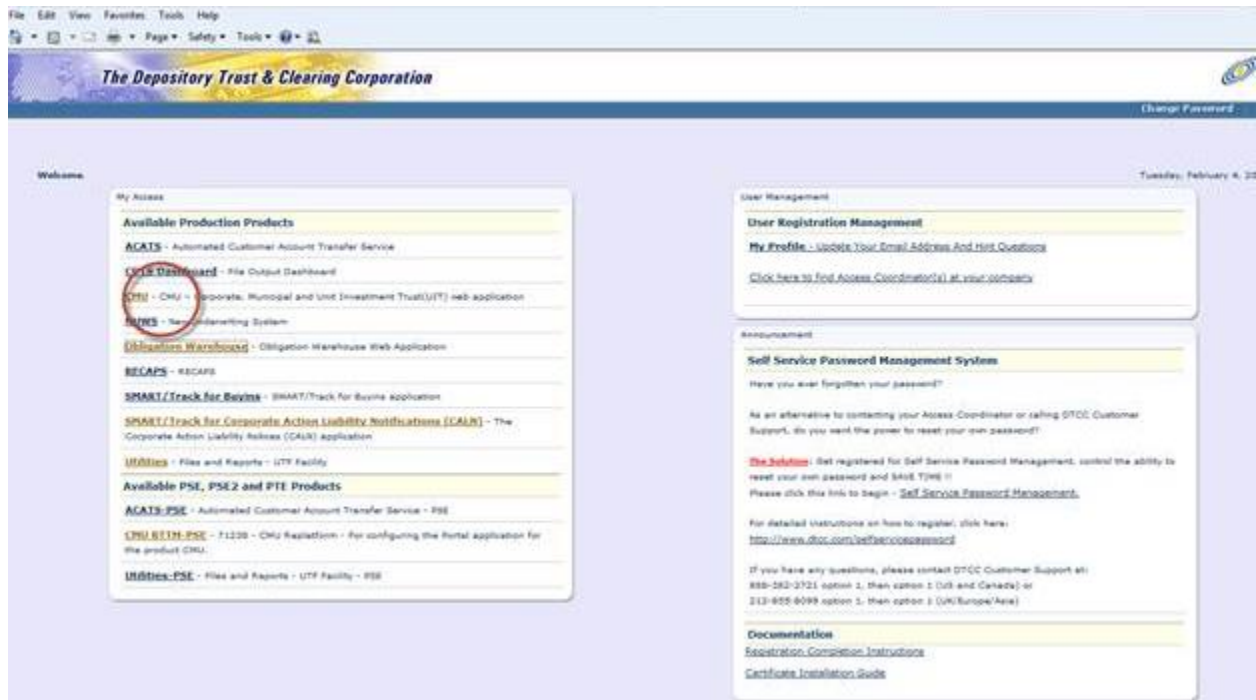
<http://www.dtcc.com/~media/Files/pdf/2013/10/3/a7711.ashx> and <http://www.dtcc.com/~media/Files/pdf/2013/5/13/a7635.ashx>

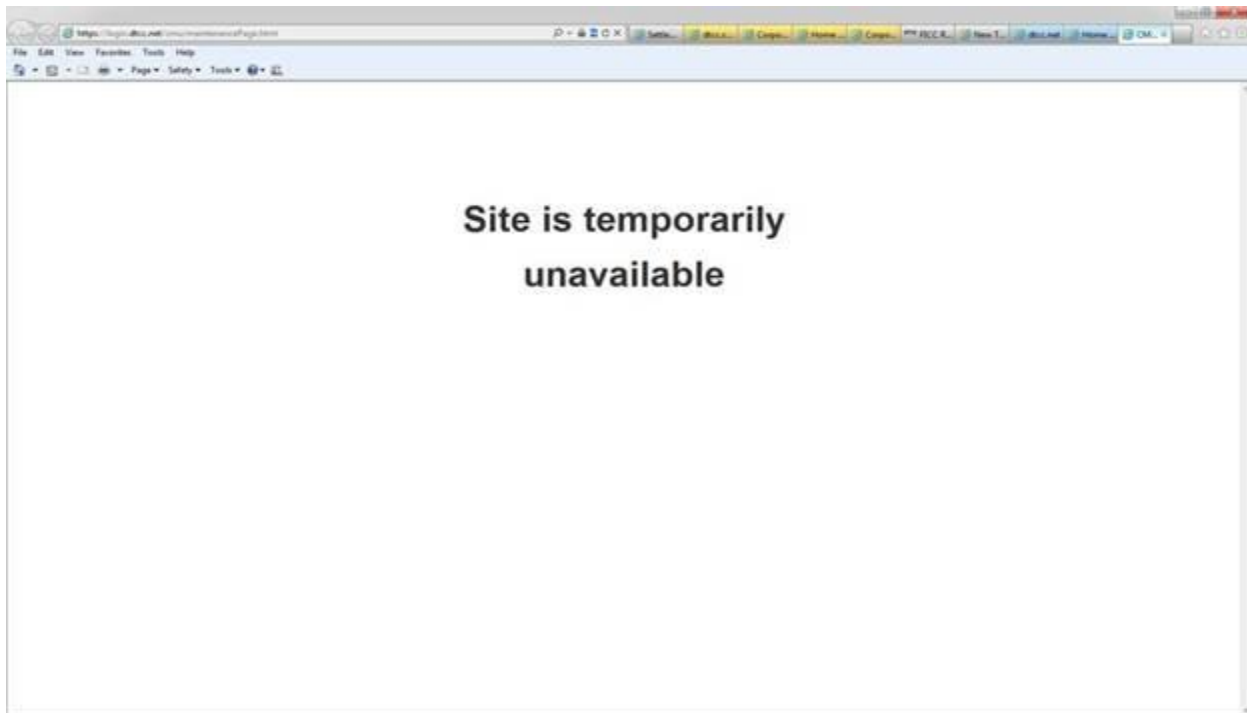
<https://www.dtclearning.com/learning/cmu/products/cmu-replatforming.html>

The CMU RTTM Web Quick Reference Guide has also been sent to the Super Access Coordinators appointed by your firm. It is designed for users who are familiar with current CMU Web and explains the features of the new system.

### **‘Site is temporarily unavailable’ screenshot**

Users must be able to access the ‘Site is temporarily unavailable’ screen today to access to new CMU RTTM Web on July 14. Selecting ‘CMU’ in screenshot below currently causes the ‘Site is temporarily unavailable’ screen to appear. On July 14, selecting ‘CMU’ will bring users to actual new CMU RTTM Web Input/Output screens.





### **Contacting DTCC on new CMU RTTM Web on July 14, 2014**

If you have questions regarding new CMU RTTM Web on July 14, email CNS Operations at [cashiering@dtcc.com](mailto:cashiering@dtcc.com) or call 888-382-2721 option 2, option 2. You may also contact Relationship Management via email to [rmsupport@dtcc.com](mailto:rmsupport@dtcc.com) or call 212-855-4270. (Email should be used if contacting DTCC before 8:30 a.m. or after 5:30 p.m.)

### **CMU RTTM to close 30 minutes early on Friday July 11, 2014**

CMU RTTM will stop processing trade messages at 7:30 p.m. ET on July 11. Trades sent to RTTM after 7:30 p.m. on Friday July 11 will be processed on Monday July 14 when CMU RTTM opens.

### **CMU RTTM early processing times on July 14**

CMU RTTM plans to send MT 599 Start of Day messages between 5:30 - 5:45 a.m. ET on July 14 and will begin processing trades before 7:00 a.m.

### **CMU RTTM regular processing times beginning July 15**

Beginning July 15, CMU RTTM will begin processing trades at 7:00 a.m. The MT 599 Start of Day Message will be sent before 7:00 a.m.

If you have any questions regarding this or other CMU RTTM services, please contact your Relationship Manager, CNS Operations, the Client Account Representative Team at 212-855-4270 option 1, or contact the undersigned at 212-855-7623 or [efanning@dtcc.com](mailto:efanning@dtcc.com).

Ed Fanning  
Director, Product Management