



Important Notice
National Securities Clearing Corporation

A#:	7862
P&S #:	7432
Date:	06/27/2014
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following item is revised from Important Notice A#7854 (P&S#7424) dated June 6, 2014. The first trade date has changed from July 1, 2014 to September 2, 2014.

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services as detailed below:
First Trade Date, September 2, 2014:
Quasar Distributors LLC/Welton Partners No. 3044
Networking Symbol: @E
Contact: Casey Sauer
Phone: (414) 203-9122
- The following firm will retire from all services, as detailed below:
Last Trade Date, July 7, 2014:
Foreside Distribution Services, L.P./Amsouth Funds No. 5816
- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:
First Trade Date, July 1, 2014:
U.S. Bank N.A. No. 2803
- The following firm will begin participation in the MF – Daily Price & Rate service, as detailed below:
First Trade Date, July 3, 2014:
Quasar Distributors LLC/Fort Pitt Capital Funds No. 5887

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- The following firm will begin participation in the MF – Profile service, as detailed below:
First Trade Date, July 7, 2014:
Stephens Inc. No. 0419
- The following firm will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, June 25, 2014:
The Bank of New York Mellon No. 1671
- Please update the NSCC Directory dated June 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, June 25, 2014</u> Presidio Financial Services, Inc.	NFSC	0226	DSSI	
<u>Add First Trade Date, June 26, 2014</u> Viewtrade Securities, Inc. W.R. Hambrecht + Co., LLC *	VSIN	0595 0235	VIEW HAMR	

* W.R. Hambrecht + Co., LLC (HAMR) was originally announced on June 20, 2014 with an effective First Trade Date of July 14, 2014, however, this was revised to First Trade Date June 26, 2014 as listed above.

- Please update the NSCC Directory dated June 2014 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, July 1, 2014</u> From: Princeton Securities Group, Inc. To: Princeton Securities Group, LLC	SILK	0501	PRSG	
	IBFS	0824	PRSG	
<u>Delete Last Trade Date, June 25, 2014</u> Wedbush Securities Inc.	WEDB	0103	WMAV	
<u>Delete Last Trade Date, June 26, 2014</u> Belle Haven Investments, L.P.	PERS	0443	BHII	
<u>Add First Trade Date, July 1, 2014</u> First Standard Financial Company LLC	FSWC	0309	STND	

- Please update the NSCC Directory dated June 2014 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, June 23, 2014</u> ARMA Financial Services, Inc.	NFSC	0226	ARMA	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.