



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 106
DATE: 06/04/2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

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Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G4954M391	IZZIF	ISHARES III PLC ACCUM SHS USD (IRL)	06/04/2014
ADD ***	G9285S108	UDHCF	UDG HEALTHCARE PLC ORD SHS (IRL)	06/04/2014
ADD ***	J08959108	CSMYF	COSMOS PHARMACEUTICAL CORP MIYAZAKI ORD SHS (JPN)	06/04/2014
ADD ***	J19278100	HWARF	HEIWA REAL ESTATE CO LTD ORD SHS (JPN)	06/04/2014
ADD ***	J3430E103	KBYPF	KOBAYASHI PHARMACEUTICAL CO LTD OSAKA ORD SHS (JPN)	06/04/2014
ADD ***	J56730120	NSHMF	NISHIMATSU CONSTRUCTION CO LTD ORD SHS (JPN)	06/04/2014
ADD ***	J5890P106	CMSYF	COMSYS HLDGS CORP TOKYO ORD SHS (JPN)	06/04/2014
ADD ***	J63525109	PBHDF	PARAMOUNT BED HLDGS CO LTD ORD SHS (JPN)	06/04/2014
ADD ***	Q8051B108	RPGRF	REA GROUP LTD ORD SHS (AUS)	06/04/2014
ADD ***	Q9239H108	PRPLF	PERPETUAL LTD ORD SHS (AUS)	06/04/2014
ADD ***	S1814C107	CNCPF	CONDUIT CAPITAL LTD ORD SHS (ZAF)	06/04/2014
ADD	910187103	UDF	UNITED DEV FDG IV COM SHS OF BENE INT (TX)	06/04/2014

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DELETE

DELETE ***	G63812153	NWEAF	NATIONAL WESTMINSTER BK PLC 9% NON CUM PFD SHS A (UK)	06/04/2014
DELETE	G8807B106	TBPHV	THERAVANCE BIOPHARMA INC ORD SHS W.I. (CYM) (SD 6/06/2014)	06/04/2014
DELETE ***	P90337166	TSAOF	TELECOMUNICACOES DE SAO PAULO PFD SHS (BRAZIL)	06/04/2014
DELETE ***	X6432T105	PTLRF	PETROLINVEST S.A., GDYNIA SHS (POLAND)	06/04/2014
DELETE ***	Y8132G101	SPSJF	SP SETIA BERHAD ORD SHS (MYS)	06/04/2014
DELETE	14174T107	CTREV	CARETRUST REIT INC COM STK W.I. (MD) (SD 6/06/2014)	06/04/2014
SEC 12J SUSPENSION	16942H109	CSHZ	CHINA SHEN ZHOU MINING & RES, INC COM STK	06/04/2014
DELETE	207597790	CNTHN	CONN LT&PWR CO 4.96 PFD	06/04/2014
DELETE	207597816	CNLHP	CONN. LT&PWR 4.50% SER1963 PFD	06/04/2014
DELETE	207597824	CNLHO	CONN LT&PWR 4.50% (1956)PFD	06/04/2014
DELETE	286236104	ELVN	11 GOOD ENERGY INC COM (DE)	06/04/2014
DELETE	29358P119	ENSGV	THE ENSIGN GROUP INC COM STK EX-DISTRIBUTIN W.I. (SD 6/06/2014)	06/04/2014
SEC 12J SUSPENSION	83267R104	SMGH	SMOOTH GLOBAL (CHINA) HLDGS INC COM STK (NV)	06/04/2014
DELETE	88338T112	THR XV	THERAVANCE EX-DIST W.I. (SD 6/06/2014)	06/04/2014
DELETE	938837200	WGLCN	WASHINGTON GAS LT \$4.25 PFD	06/04/2014
DELETE	938837507	WGLCO	WASHINGTON GAS LT \$4.80 PFD	06/04/2014
DELETE	938837606	WGLCP	WASHINGTON GAS LT CO \$5 PFD	06/04/2014
SEC 12J SUSPENSION	948644109	WKBT	WEIKANG BIO-TECHNOLOGY GROUP COMPANY INC COM STK	06/04/2014

SYMBOL CHANGES

Q1869A107***	BCGYF TO: BCGFQ	BUCCANEER ENERGY LTD, SYDNEY NSW ORD SHS (AUS)	06/04/2014
554255109	MACC TO: MACCE	MACCO INTL CORP COM STK (NV)	06/04/2014
66401P100	NTLNE TO: NTLNF	NORTHCORE TECHNOLOGIES INC ORD SHS (CDA)	06/04/2014
69888T207	PARRE TO: PARR	PAR PETROLEUM CORP COM STK (DE)	06/04/2014
73933D100	PREXE TO: PREXF	POWER RES EXPL INC NEW COM STK (CDA)	06/04/2014
78184P108	REXEE TO: REXEF	RUSH EXPLORATION INC COM SHS (CDA)	06/04/2014
92555K101	DCRDD TO: VDRM	VIADERMA INC COM STK	06/04/2014

REORGANIZATION

DELETE	G39580108	GMDTF	GOLDEN MEDITECH HLDINGS LTD ORD SHS (CYM)	
ADD ***	G39580124	GMDTD	GOLDEN MEDITECH HLDGS LTD NEW ORD SHS (CYM)	06/04/2014

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DELETE	Q41203235	GGPLD	GOLDEN GATE PETE LTD NEW ORD SHS (AUS)	
ADD ***	Q7075E106	GGPLD	OGI GROUP LTD NEW ORD SHS (AUS)	06/04/2014
DELETE	624733101	MPSFF	MPH VENTURES CORP ORD SHS (CDA)	
ADD	624733200	MPSFD	MPH VENTURES CORP ORD SHS (CDA)	06/04/2014
DELETE	675906101	ODMEF	ODIN MINING & EXPL LTD (F)	
ADD	675906200	ODMED	ODIN MINING & EXPL LTD ORD SHS (CDA)	06/04/2014
DELETE	85517P101	STSI	STAR SCIENTIFIC INC	
ADD	772081105	RCPI	ROCK CREEK PHARMACEUTICALS INC COM STK (DE)	06/04/2014
DELETE	89620E102	TNMLF	TRILLIUM NORTH MINERALS LTD ORD SHS (CDA)	
ADD	964046106	TNMLD	WHITE METAL RES CORP ORD SHS (CDA)	06/04/2014
NAME CHANGE				
DELETE	46430V104	CMOXF	ISHARES S&P GLOBAL WATER INDEX FUND (CDA)	
ADD	46430V104	CMOXF	ISHARES S&P GLOBAL WATER INDEX ETF (CDA)	06/04/2014
DELETE	46432E100	CLFMF	ISHARES 1-5 YEAR LADDERED GOVERNMENT BOND INDEX FUND (CDA)	
ADD	46432E100	CLFMF	ISHARES 1-5 YEAR LADDERED GOVT BN INDEX ETF (CDA)	06/04/2014
DELETE	46433Q102	CLAYF	ISHARES 1-5 YEAR LADDERED CORP BND INDEX FUND (CDA)	
ADD	46433Q102	CLAYF	ISHARES 1-5 YEAR LADDERED CORP BN INDEX ETF (CDA)	06/04/2014

* 19C-3 Security rule

*** Foreign Netting Security

ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY

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