



*Important Notice*  
**National Securities Clearing Corporation - OTC**

**OTC #:** 125  
**DATE:** 07/01/2014  
**TO:** ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION  
**ATTENTION:** OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES  
**FROM:** UNDERWRITING  
**SUBJECT:** UPDATES TO THE LIST OF OTC CLEARED SECURITIES

**THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.**

Update	Cusip No	Symbol	Name	Effective Date
<b>ADD</b>				
ADD ***	N1432T131	BPNFF	BNP PARIBAS FND II NV BNP PARIBAS GOVT BD INDEX FND (NLD)	07/01/2014
12K SEC REINSTATEMENT	03823Y203	APSN	APPLIED NEUROSOLUTIONS INC NEW COM STK	07/01/2014
12K SEC REINSTATEMENT	223767203	CWLZ	COWLITZ BANCORPORATION NEW LONGVIEW WASH COM STK (WA)	07/01/2014
12K SEC REINSTATEMENT	225646108	CSNT	CRESCENT BANKING CO	07/01/2014
12K SEC REINSTATEMENT	23786H104	DTAS	DATA STORAGE CONSULTING SERV INC COM STK	07/01/2014
12K SEC REINSTATEMENT	32111B104	FNSCQ	FIRST NATL BANCSHARES INC SC	07/01/2014
12K SEC REINSTATEMENT	32111B203	FNSPQ	FIRST NATIONAL BANCSHARES INC PFD SER A (SC)	07/01/2014
12K SEC REINSTATEMENT	33610T109	FPFCQ	FIRST PL FINL CORP DEL	07/01/2014
12K SEC REINSTATEMENT	456781103	IFSG	INFOSMART GROUP, INC COM STK	07/01/2014
ADD	46134L105	ISTR	INVESTAR HLDG CORP COM STK (LA)	07/01/2014

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12K SEC REINSTATEMENT	48723P109	KDMP	KEDEM PHARMACEUTICALS INC COM STK (NV)	07/01/2014
12K SEC REINSTATEMENT	566246104	MCBN	MARCO COMMUNITY BANCORP INC (FL)	07/01/2014
ADD	603380106	NERV	MINERVA NEUROSCIENCES INC COM STK (DE)	07/01/2014
12K SEC REINSTATEMENT	86686U202	SNRV	SUN RIVER ENERGY INC COM STK	07/01/2014

## DELETE

DELETE ***	D66040102	RRAUF	ROTH & RAU AG, HOHENSTEIN- ERNSTTHAL ORD SHS (DEU)	07/01/2014
DELETE ***	G4955X107	IRIBF	IRISH BANK RESOLUTION CORP LTD ORD SHS (IRL)	07/01/2014
DELETE ***	J0355T107	AVADF	AVAL DATA CORP ORD SHS (JPN)	07/01/2014
DELETE ***	J06384101	CFSKF	CHOFU SEISAKUSHO CO LTD	07/01/2014
DELETE ***	J87215109	TKIYF	TOKYO KISEN CO LTD ORD SHS (JPN)	07/01/2014
DELETE ***	R1559F100	CFMRF	CODFARMERS AS ORD SHS (NOR)	07/01/2014
DELETE ***	Y4214B128	ITVUF	ITV PUBLIC COMPANY LTD UNITS NON- VOTING DEP RECPT (THAILAND)	07/01/2014
DELETE	05349F402	AVATQ	AVATEX CORPORATION CL-A	07/01/2014
DELETE	151408101	CNBC	CENTER BANCORP INC	07/01/2014
DELETE	20375S400	CNLA	COMMUNITY NATIONAL BANK OF THE LAKEWAY AREA COMMON STOCK	07/01/2014
DELETE	367220100	GSXN	GASCO ENERGY INC	07/01/2014
DELETE	58734P105	MBCRQ	MERCANTILE BANCORP INC(ILLINOIS	07/01/2014
DELETE	74367R102	PPAFQ	PROTECTIVE PRODUCTS OF AMERICA, INC COM STK (DE)	07/01/2014
DELETE	74874R207	QPACU	QUINPARIO ACQUISITION CORP UIT 1 COM & 1 WT (DE)	07/01/2014

## MARKET MOVE

<b>481246700*</b>	<b>JPMPP TO: JPMPRE</b>	<b>JPMORGAN CHASE &amp; CO DEP SHS REPSTG 1/400TH INT PERP PFD (XNAS TO: XNYS)</b>	<b>07/01/2014</b>
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## SYMBOL CHANGES

624733200	MPSFD TO: MPSFF	MPH VENTURES CORP ORD SHS (CDA)	07/01/2014
675906200	ODMED TO: ODMEF	ODIN MINING & EXPL LTD ORD SHS (CDA)	07/01/2014
964046106	TNMLD TO: TNMLF	WHITE METAL RES CORP ORD SHS (CDA)	07/01/2014

## REORGANIZATION

DELETE	G4804L130	ICHGF	INTERCONTINENTAL HOTELS GRP NEW ORD SHS (UK)	
ADD ***	G4804L205	ICHGD	INTERCONTINENTAL HOTELS GRP ORD SHS (UK)	07/01/2014

DELETE	G7860B110	SHRRF	SCHRODERS PLC NEW ORDINARY SHARES NON VOTING (UK)	
ADD ***	G78602128	SHRRF	SCHRODERS PLC NEW ORD SHS NON VOTING (UK)	07/01/2014
DELETE	G79211119	STMZF	SCOTTISH MTG INVT TR PLC ORD SHS GBP (GBR)	
ADD ***	G79211127	STMZF	SCOTTISH MORTGAGE INVT TR PLC ORD SHS GBP	07/01/2014
DELETE	00246U107	AWGI	AWG INTERNATIONAL WATER CORP (NV)	
ADD	02319A109	AWGI	AMBIENT WATER CORP COM (NV)	07/01/2014
DELETE	20786U101	CNOB	CONNECTONE BANCORP INC	
ADD	20786W107	CNOB	CONNECTONE BANCORP INC NEW COM STK (NJ)	07/01/2014
DELETE	25540P108	DVIO	DIVIO HOLDINGS CORP COM STK (NV)	
ADD	39715T100	DVIOD	GREENWOOD HALL INC (NEW) COM STK (NV)	07/01/2014
DELETE	74874R108	QPAC	QUINPARIO ACQUISITION CORP COM STK	
ADD	471172106	JASN	JASON INDS INC COM STK (DE)	07/01/2014
DELETE	74874R116	QPACW	QUINPARIO ACQUISITION CORP WARRANT	
ADD	471172114	JASNW	JASON INDS INC WTS EXP 6/30/2019	07/01/2014
DELETE	784892101	SWCI	SW CHINA IMPORTS INC COM STK (NV)	
ADD	58403E100	MCPI	MED-CANNABIS PHARMA INC COM STK (NV)	07/01/2014
DELETE	894117100	ERBB	TRANZBYTE CORPORATION COM STK	
ADD	02640N101	ERBB	AMERICAN GREEN INC COM STK (NV)	07/01/2014
DELETE	93041P209	WGBS	WAFERGEN BIO-SYSTEMS INC COM STK	
ADD	93041P308	WGBSD	WAFERGEN BIO-SYSTEMS INC NEW COM STK (NV)	07/01/2014

\* 19C-3 Security rule

\*\*\* Foreign Netting Security

**ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: [smd\\_notices@dtcc.com](mailto:smd_notices@dtcc.com)**

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