



**Important Notice**  
**National Securities Clearing Corporation**

<b>A#:</b>	7870
<b>P&amp;S #:</b>	7440
<b>Date:</b>	07/18/2014
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, July 23, 2014:**

John Hancock Life Insurance Company (USA) / Diversified Equity	No.	4714
John Hancock Life Insurance Company (USA) / Fundamental Holdings	No.	4715
John Hancock Life Insurance Company (USA) / Global Diversification	No.	4716

- The following firm will no longer participate in the ACATS service, as detailed below:

**Last Trade Date, July 22, 2014:**

CRT Capital Group LLC	No.	0387
-----------------------	-----	------

- The following firm will no longer participate in the Municipal Bond Comparison service, as detailed below:

**Last Trade Date, July 22, 2014:**

Comerica Bank/Comerica Securities Inc.	No.	2364
--	-----	------

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:

**First Trade Date, July 21, 2014:**

Ultimus Fund Distributors, LLC/Lyrical Funds	No.	7069
--	-----	------

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, July 23, 2014:**

Quasar Distributors, LLC/RBC Funds Trust	No.	5413
--	-----	------

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firm will begin participation in the Fund/SERV, MF – Daily Price and Rate, and Networking services as detailed below:

**First Trade Date, August 11, 2014:**

Sun Life Assurance Company of Canada (U.S.)/Annuity (SE2)

No. 3053

Networking Symbol:

@Q

Contact: Mark Sanderson

Phone: (785) 438-3609

- The following firm will begin participation in the Fund/SERV and ACATS Fund/SERV services as detailed below:

**First Trade Date, July 21, 2014:**

Nordea Investment Funds S.A.

No. 7089

- Please update the NSCC Directory dated July 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Clearing Broker Change**

**Effective First Trade Date, July 16, 2014**

From: Northeast Securities, Inc.

To: Northeast Securities, Inc.

BSSC	0352	NESC	
PERS	0443	NESC	

- Please update the NSCC Directory dated July 2014 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Executing Broker Name Change**

**Effective First Trade Date, July 15, 2014**

From: MGI Securities Inc./CDS

To: Industrial Alliance Securities Inc.

FMAF	5008	MGIS	
FMAF	5008	MGIS	

**Delete Last Trade Date, July 17, 2014**

National Bank Financial Inc./CDS

FMAF	5008	NBFC	
------	------	------	--

**Add First Trade Date, July 17, 2014**

Belle Haven Investments, L.P.

PERS	0443	BHII	
------	------	------	--

**Add First Trade Date, August 1, 2014**

National Financial Services LLC

Fidelity Brokerage Services LLC

NFSC	0226	XSTM	
NFSC	0226	FIBS	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).