



*Important Notice*  
**National Securities Clearing Corporation - OTC**

**OTC #:** 126  
**DATE:** 07/02/2014  
**TO:** ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION  
**ATTENTION:** OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES  
**FROM:** UNDERWRITING  
**SUBJECT:** UPDATES TO THE LIST OF OTC CLEARED SECURITIES

**THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.**

<b>Update</b>	<b>Cusip No</b>	<b>Symbol</b>	<b>Name</b>	<b>Effective Date</b>
<b>ADD</b>				
ADD ***	D1648T108	CEVMF	CTS EVENTIM AG MUENCHEN ORD SHS (DEU)	07/02/2014
ADD ***	E92589105	UNJCF	UNICAJA BANCO SA ORD SHS (ESP)	07/02/2014
ADD ***	J7808J107	SLFEF	SUN-LIFE CORP ORD SHS (JPN)	07/02/2014
ADD ***	X70955103	RENZF	REDES ENERGETICAS NACIONAIS SA ORD SHS (PRT)	07/02/2014
ADD ***	Y1093G103	CPYSF	CAPELLA MICROSYSTEMS TAIWAN INC ORD SHS (TWN)	07/02/2014
12K SEC REINSTATEMENT	001436104	ASYI	AISYSTEMS INC COM STK (NV)	07/02/2014
12K SEC REINSTATEMENT	05661P103	BAEA	BAETA CORP COM STK (NJ)	07/02/2014
12K SEC REINSTATEMENT	16943K101	CJYF	CHINA JIANYE FUEL, INC COM STK	07/02/2014
ADD	20452Y783	CDC	COMPASS EMP FDS TR 100 HIGH DIVID ENHANCED ETF	07/02/2014
ADD	20452Y817	CFO	COMPASS EMP FDS TR U S 500 ENHANCED VOLATILITY ETF	07/02/2014

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ADD	20452Y833	CFA	COMPASS EMP FDS TR U S 500 VOLATILITY WEIGHTED INDEX ETF	07/02/2014
12K SEC REINSTATEMENT	218510105	CDXP	CORDEX PHARMA INC COM STK (NV)	07/02/2014
12K SEC REINSTATEMENT	25278S100	DHCC	DIAMONDHEAD CASINO CORP	07/02/2014
12K SEC REINSTATEMENT	29089G107	EMDY	EMERALD DAIRY INC COM STK	07/02/2014
ADD	37957B100	GBIM	GLOBEIMMUNE INC COM STK (DE)	07/02/2014
12K SEC REINSTATEMENT	49127G208	QMIN	KENTUCKY ENERGY INC COM STK	07/02/2014
ADD	63938Y308	NMHDF	NAVIOS MARITIME HLDGS INC SPON ADR REPSTG 1/100TH PERP PFD	07/02/2014
ADD	83177F109	SVTZ	SMART SERVER INC COM (NV)	07/02/2014

### DELETE

DELETE	404139123	HCHCW	HC2 HOLDINGS, INC. WARRANT EXP CL A- 1 EXPIRING 07/01/2014	07/02/2014
DELETE	404139131	HCHXW	HC2 HOLDINGS, INC. WARRANT EXP CL A- 3 EXPIRING 07/01/2014	07/02/2014
DELETE	404139149	HCHHW	HC2 HOLDINGS, INC. WARRANT EXP CL A- 2 EXPIRING 07/01/2014	07/02/2014
DELETE	604470104	MTRPF	MINTERRA RESOURCE CORP ORD SHES	07/02/2014

### SYMBOL CHANGES

G3458R138***	FRDID TO: FRDIF	FIRESTONE DIAMONDS PLC ORD SHS (GBR)	07/02/2014
G39580124***	GMDTD TO: GMDTF	GOLDEN MEDITECH HLDGS LTD NEW ORD SHS (CYM)	07/02/2014
00100Y104***	AVFCY TO: IHUBY	ADVFN PLC SPONSORED ADR (UK)	07/02/2014

### REORGANIZATION

DELETE	46123C108	ILNLF	INV METALS INC COM SHS (CDA)	
ADD	46123C207	ILNLD	INV METALS INC COM SHS (CDA)	07/02/2014
DELETE	48722R106	KIPO	KEATING CAP INC COM	
ADD	05543L106	BDCV	BDCA VENTURE INC COM STK (MD)	07/02/2014
DELETE	488810102	KNDR	KENDER ENERGY INC COMMON STOCK	
ADD	087766101	KNDRD	BETWORK INDS INC NEW COM STK (NV)	07/02/2014
DELETE	571698109	MNBP	MARS NATL BANK (PA)	
ADD	571697101	MNBP	MARS NATIONAL BANCORP INC COM STK	07/02/2014
DELETE	67524Q108	FEOVF	OCEANIC IRON ORE CORP ORD SHS (CDA)	
ADD	67524Q132	FEOVD	OCEANIC IRON ORE CORP ORD SHS (CDA)	07/02/2014

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DELETE	68207V208	OCFN	OMEGA COMMERCIAL FINANCE CORP COM STK (WY)	
ADD	68207V307	OCFND	OMEGA COML FIN CORP NEW COM STK (WY)	07/02/2014
DELETE	75585A102	REAC	REAL ESTATE CONTACTS INC COM STK	
ADD	75585A201	REACD	REAL ESTATE CONTACTS INC NEW COM STK (FL)	07/02/2014
<b>WHEN ISSUE</b>				
ADD	910187103	UDFDV	UNITED DEV FDG IV COM SHS OF BENE INT W.D. (TX)	07/02/2014

**\* 19C-3 Security rule**

**\*\*\* Foreign Netting Security**

**ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: [smd\\_notices@dtcc.com](mailto:smd_notices@dtcc.com)**