



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	7872
<b>P&amp;S #:</b>	7442
<b>Date:</b>	07/25/2014
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, July 30, 2014:**

Quasar Distributors, LLC/Cushing Funds No. 5328

- The following firm will no longer participate in the MF – Profile service, as detailed below:

**Last Trade Date, July 21, 2014:**

First Dominion Capital Corp No. 5823

- The following firms will change their names only, as detailed below:

**First Trade Date, August 4, 2014:**

From: Sun Life Assurance Company of Canada (U.S.) No. 4518

To: Delaware Life Insurance Company No. 4518

From: Sun Life Assurance Company of Canada (U.S.) No. 6794

To: Delaware Life Insurance Company No. 6794

From: Sunlife Assurance Co. of Canada/Keyport Product Line No. 4601

To: Delaware Life Insurance Company/Keyport No. 4601

From: Sunlife Assurance Co Canada(US)/Columbia Fds Dist No. 4644

To: Delaware Life Insurance Company/Columbia No. 4644

From: Sun Life Assurance Company of Canada (U.S.)/Annuity (Se2) No. 3053

To: Delaware Life Insurance Company/Annuity Se2 No. 3053

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From: Sun Life Assurance Company of Canada (U.S.)/Life (Se2)	No.	3054
To: Delaware Life Insurance Company/Life Se2	No.	3054
From: Sun Life Insurance and Annuity Company of New York	No.	4519
To: Delaware Life Insurance Company of New York	No.	4519
From: Sunlife Ins & Annuity Co NY(US)/Columbia Fds Dist	No.	4645
To: Delaware Life Insurance Company of New York/Columbia	No.	4645
From: Sun Life Ins & Annuity Co of NY/Insurance Products	No.	4646
To: Delaware Life Insurance Company of New York/SLF Serviced	No.	4646
From: Sun Life Insurance & Annuity Company of New York/Annuity (Se2)	No.	3055
To: Delaware Life Insurance Company of New York/Annuity Se2	No.	3055
From: Sun Life Insurance & Annuity Company of New York/Life (Se2)	No.	3056
To: Delaware Life Insurance Company of New York/Life Se2	No.	3056

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:  
**First Trade Date, July 31, 2014:**  
 Quasar Distributors, LLC/Portfolio 21 No. 4929
- The following firm will begin participation in the MF – Daily Price and Rate services as detailed below:  
**First Trade Date, August 1, 2014:**  
 Waddell & Reed, Inc. No. 0687
- The following firm will begin participation in the Omni/SERV Activity & Position services as detailed below:  
**First Trade Date, August 1, 2014:**  
 Quasar Distributors, LLC/Tortoise Core Infrastructure Fund No. 5168
- The following firms will begin participation in the Fund/SERV service as detailed below:  
**First Trade Date, August 11, 2014:**  
 Western-Southern Life Assurance Company No. 4542  
 Integrity Life Insurance Company No. 4549  
 National Integrity Life Insurance Company No. 4550
- The following firm will begin participation in the Alternative Investment Products service, as detailed below:  
**First Trade Date, July 29, 2014:**  
 Bluebird Asset Management, LLC No. 1672

- The following additional number has been assigned to Quasar Distributors LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate and DTCC Payment aXis services, as detailed below:

**First Trade Date, July 25, 2014:**

Quasar Distributors LLC/Henderson Gartmore Offshore Funds

No. 3083

Networking Symbol:

@R

Contact: Pierre-Henry Dier

Phone: (352) 2605-6010

- Please update the NSCC Directory dated July 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, July 22, 2014</u></b> Indiana Securities Of Indianapolis, LLC		0235	INDI	

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	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, July 23, 2014</u></b> Du Pasquier & Co., Inc.		0443	PASQ	
<b><u>Add First Trade Date, July 25, 2014</u></b> Bloomberg Tradebook LLC		7001	BTBK	
<b><u>Add First Trade Date, July 28, 2014</u></b> Electronic Transaction Clearing, Inc.	ETCC	0873	ETDB	
Electronic Transaction Clearing, Inc.	ETCC	0873	ETDC	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).