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Date:	July 2, 2014 – Revised July 3, 2014
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	MUTUAL FUND – Documentation Modification to Fund/SERV Transmission Schedule and Record Layouts

Effective immediately, National Securities Clearing Corporation (NSCC) has updated the Fund/SERV Transmission Schedule to include Autoroute information not previously included. Additionally, the Table of Contents in the record layouts document is being modified to remove field descriptions that are not available at this time.

These changes are document changes only and do not require any programming changes.

Fund/SERV Variable Record Layouts – Part 3

The Table of Contents will be modified to remove references to the following records:

- Settling Trade Detail for non-USD Settlement by Currency – Record 076
- Settling Trade Detail for TPA/Investment Agent/TPP non-USD Settlement by Currency – Record 078
- Settling Trade Trailer for non-USD Settlement by Currency – Record 077
- Settling Trade Trailer for TPA/Investment Agent/TPP non-USD Settlement by Currency – Record 079

This change will align the Table of Contents to the layouts described in the record layouts document. This is a **documentation change** only.

Fund/SERV Transmission Schedule

The Fund/SERV Transmission Schedule will be modified to reference the MF X-Settlement Autoroute File, which was not previously referenced.

Production:

Description	Autoroute Numbers	Receives Output F/B	# Of Cycles	Output Time
MF X-Settlement	02111096	F/B	1	9:55 AM

PSE:

Description	Autoroute Numbers	Receives Output F/B	# Of Cycles	Output Time
MF X-Settlement	02981096	F/B	1	9:55 AM

This change will align the Fund/SERV Transmission Schedule to the existing Autoroute numbers and cycles. This is a **documentation change** only.

DOCUMENTATION

The updated Fund/SERV transmission schedule and record layouts document are available on DTCC's website:

<http://www.dtcc.com/investment-product-services/wealth-management-services/fund-serv/product-client-center/gated-documents.aspx?gated=wms-section>

Questions and comments regarding this Important Notice may be directed to Wealth Management Services at **212-855-8877**.

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