



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 153
DATE: AUGUST 11, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	Q1369N104	BSSRF	BASSARI RESOURCES, LTD. CAMBERWELL VIC ORD SHS (AUSTRALIA)	08/11/2014
ADD ***	Y82594154	SKHTF	SUN HUNG KAI PPTY LTD ORD EQUITY WT, CALL, MATURE 04/30/16	08/11/2014
ADD	03675A105	ATHTF	ANTHEM UNITED, INC. ORDINARY SHARES (CANADA)	08/11/2014
DELETE				
DELETE ***	G2903R107	DSITF	DIXONS CARPHONE PLC ORD SHS (GBP)	08/11/2014
DELETE	M96885104	VBLX	VASCULAR BIOGENICS LTD ORD SHS (ISR)	08/08/2014
DELETE	00248D103	AZELY	AZ ELECTR MATLS SA UNSPON ADR (LUX)	08/11/2014
DELETE	024343204	AMBKO	AMERICAN BANK INC PREF SER A (PA)	08/11/2014
DELETE	59508J101	MCLB	MICROLIN BIO INC COM	08/11/2014
DELETE	59508J119	MCLBW	MICROLIN BIO INC WT EXP 7/03/2019	08/11/2014
DELETE	865126106	SDDDF	SULLIDEN GOLD CORP LTD ORD SHS (CANADA)	08/11/2014

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SYMBOL CHANGES

05350D205	AVGCD	TO: AVGC	AVANGARD CAPITAL GROUP, INC. COMMON STOCK	08/11/2014
590419206	MERRD	TO: MERR	MERRIMAN HOLDINGS, INC COMMON STOCK	08/11/2014

REORGANIZATION

DELETE	Q89499109	NZTCF	TELECOM CORP NEW ZEALAND	
ADD	Q8619N107	NZTCF	SPARK NEW ZEALAND LTD ORD SHS (NEW ZEALAND).	08/11/2014
DELETE	44944Y102	IDST	IDS INDUSTRIES INC COM STK (NV)	
ADD	009617101	AJAC	AJA CANNAFACTURING, INC.	08/11/2014
DELETE	86663B102	SNBC	SUN BANCORP INC (N J)	
ADD	86663B201	SNBC	SUN BANCORP INC (N J) NEW	08/11/2014

* 19C-3 Security rule
*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
