



A#:	a7895
P&S:	7465
Date:	August 13, 2014
To:	All Participants
From:	Enterprise Service Center
Attention:	Managing Partner/Officer, P&S Manager, Cashier Data Processing Manager, Fund/Serv Manager
Subject:	LABOR DAY HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, September 1, 2014 in observance of Labor Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS:

Trade input for Real Time Trade Matching (RTTM) for Corporates, Municipals, and UITS (CMU) for trade date August 29, 2014 will be accepted no later than 8:00 PM on August 29, 2014.

Trade Capture & Reporting:

No UTC processing will take place on Monday, September 1, 2014.

CNS CONTINUOUS NET SETTLEMENT (CNS):

Seg. Exemptions and Priority Requests for settlement of Tuesday, September 2, 2014 will be accepted on Friday evening, August 29, 2014.

CENTRAL DELIVERY, SETTLEMENT (ESS):

No Deliver or Settlement Operations will be provided on Monday, September 1, 2014.

INTER-CITY DELIVERIES:

Inter-City Deliveries will be accepted on Friday, August 29, 2014 for settlement on Tuesday, September 2, 2014.

ACATS – AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE:

No ACATS activity will be accepted on Monday, September 1, 2014.

ACATS – FUND/SERV INTERFACE:

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

No ACATS – FUND/SERV Interface activity will be accepted on Monday, September 1, 2014.

ACATS-IPS

No ACATS-IPS Interface activity will be accepted on Monday, September 1, 2014.

OBLIGATION WAREHOUSE

OW will be closed for the business day Monday, September 1, 2014. No input will be received or processed and no output generated for OW. Any submission after close of business Friday, August 29, 2014 should be for the next business date. Normal processing will resume for business day Tuesday, September 2, 2014.

MUTUAL FUND COMMISSION SETTLEMENT:

No Mutual Fund Commission Settlement activity will be accepted on Monday, September 1, 2014.

FUND/SERV, NETWORKING AND OMNI/SERV:

No Fund/SERV, Networking or OMNI/SERV activity will be accepted on Monday, September 1, 2014. There will be no distribution of any Fund/SERV, Networking or DSA/DSP data on Monday, September 1, 2014.

INSURANCE PROCESSING:

IPS files received on Monday, September 1, 2014 will be processed. **POV files will be transmitted on Monday, September 1, 2014** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, September 2, 2014.

Questions regarding this Important Notice should be directed to your Relationship Manager or Relationship Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center