



<b>A#:</b>	<b>7886</b>
<b>P&amp;S#:</b>	<b>7456</b>
<b>DATE:</b>	<b>AUGUST 19, 2014</b>
<b>TO:</b>	<b>ALL PARTICIPANTS</b>
<b>FROM:</b>	<b>PRODUCT MANAGEMENT</b>
<b>ATTENTION:</b>	<b>MANAGING PARTNER/OFFICER; P&amp;S MANAGER, CASHIER; OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER</b>
<b>SUBJECT:</b>	<b>CLOSURE OF LEGACY OVER THE COUNTER TRADE CAPTURE INPUT FILES</b>

In 2011, NSCC consolidated four separate legacy applications into a single engine, and launched the Universal Trade Capture (UTC). Since that time many firms and exchanges have converted to UTC's input message format. Effective in February 2014, NSCC's Rules require that all locked in trades be submitted to NSCC in real-time using through the UTC message input. As a result of this new requirement, firms no longer send transactions to NSCC through the legacy Over the Counter input.

The OTC legacy input functionality will be closed starting on **September 30, 2014**. Beginning on that date, file submissions for backup or failover purposes will not be accepted by NSCC, and the following datatrak files will be discontinued:

<b>Production Datatrak file #</b>	<b>Test Datatrak file #</b>	<b>Legacy application</b>	<b>Description</b>
18651	48651	OTC (Over the Counter)	120 character input format
18654	48654	OTC (Over the Counter)	250 character input format

Questions

For questions related to this notice please contact your Relationship Manager or the undersigned.

Tim Garrett  
Product Management, Equities Clearance

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