



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 149
DATE: AUGUST 5, 2014
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G3338A107	FXGPF	FALANX GROUP LTD. ORDINARY SHARES (VIRGIN ISLANDS BRITISH)	08/05/2014
ADD	374396133	GEVNW	GEVO, INC. WT EXP	08/05/2014
ADD	82967Y500	SRVA	SIRVA INC COM STK	08/05/2014
ADD	88883P101	TBRA	TOBIRA THERAPEUTICS, INC COM STK	08/05/2014
ADD	92939D104	WLRH	WL ROSS HOLDINGS CORP COM SHS	08/05/2014
ADD	92939D112	WLRHW	WL ROSS HOLDINGS CORP WT EXP	08/05/2014
ADD	98979H103	ZSAN	ZOSANO PHARMA CORP COM (DE)	08/05/2014
DELETE				
DELETE ***	J1369L106	EPMIF	EP-MINT CO LTD, TOKYO ORDINARY SHARES (JAPAN)	08/05/2014
DELETE ***	Y1122V105	CLPAF	CAPITALMALLS ASIA LTD ORD SHS (SINGAPORE)	08/05/2014
SEC 12J SUSPENSION	302465109	FLMS	FLM MINERALS INC (NV)	08/05/2014
SEC 12K SUSPENSION	39260U106	GHIL	GREEN AND HILL INDUSTRIES, INC. COMMON STOCK	08/05/2014

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SYMBOL CHANGES

89025Q106	TGLPE TO: TGLP	TONGLI PHARMACEUTICALS USA INC	08/05/2014
968235200	WHLMD TO: WHLM	WILHELMINA INTERNATIONAL, INC. NEW COMMON STOCK	08/05/2014

REORGANIZATION

DELETE	D27348123	FSNUF	FRESENIUS SE SHS (GERMANY FEDERAL REPUBLIC)	
ADD ***	D27348263	FSNUF	FRESENIUS SE & CO KGAA ORD SHS (GERMANY FEDERAL REPUBLIC)	08/05/2014
DELETE	G46489103	HTBNF	HUNTER BAY MINERALS PLC ORDINARY SHARES (UNITED KINGDOM)	
ADD ***	G46489152	HTBND	HUNTER BAY MINERALS PLC ORDINARY SHARES (UNITED KINGDOM)	08/05/2014
DELETE	404275109	HRTPY	HRT PARTICIPACOES EM PETROLEO SA SPONSORED GDR(BRAZIL)	
ADD	404275208	HRTPD	HRT PARTICIPACOES EM PETROLEO SA NEW SPONSORED GLOBAL DEPO (BRAZIL)	08/05/2014
DELETE	45773S108	INCT	INNOCENT, INC. COMMON STOCK (NV)	
ADD	69867C100	PNEG	PANTHER ENERGY, INC. COMMON STOCK	08/05/2014
DELETE	760826107	RTXB	REPUBLIC TEX BRANDS INC COM STK (TX)	
ADD	89156D106	THCZ	TOTALLY HEMP CRAZY INC. COMMON STOCK	08/05/2014
DELETE	843075201	SITS	SOUTHERN ITS INTERNATIONAL INC. COMMON STOCK	
ADD	843075300	SITSD	SOUTHERN ITS INTERNATIONAL INC. NEW COMMON STOCK	08/05/2014

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J & 12K SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
