



B #:	B1648-14
Date:	September 10, 2014
To:	All Participants
Category:	Compliance
From:	General Counsel's Office
Attention:	Managing Partner/Officer, Compliance Officer, Operations Officer
Subject:	Rule Filing SR-DTC-2014-09 -- Transition of Certain PTS/PBS Functions for Corporate Action Distribution Events to Corporate Actions Web

Recently, The Depository Trust Company ("DTC") submitted rule-filing SR-DTC-2014-09 to the U.S. Securities and Exchange Commission ("SEC") under Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change was effective upon filing.

The proposed rule change implements the mandatory transition of the BOOK, DUEB and TAXI corporate actions functions of DTC's Participant Terminal System (PTS)/Participant Browser Service (PBS) applications to the Corporate Actions Web ("CA Web") for the processing of distribution events.

The full text of the rule change is available at our website, www.dtcc.com. Questions or inquiries regarding this proposed rule change may be directed to Aimee Bandler, Senior Associate Counsel, at email abandler@dtcc.com or (212) 855-3148; any such comments will be forwarded to the SEC. Written comments may also be addressed to the Secretary of the Commission, Securities and Exchange Commission, 100 First Street, N.E., Washington, D.C. 20549. We request that you provide DTC with a copy of your comments.

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