



Important Notice
National Securities Clearing Corporation

A#:	7958
P&S #:	7533
Date:	01/02/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following item is revised from Important Notice A#7950 (P&S#7525) dated December 12, 2014. The firm is adding Networking.

- The following additional number has been assigned to Jefferson National Life Insurance Company (#4573) for the purpose of utilizing Fund/SERV, Networking and Insurance Processing services, as detailed below:

First Trade Date, January 2, 2015:

Jefferson National Life Insurance Company/Jefferson National Life Insurance Company of New York No. 3165
 Contact: Carla Higgs
 Phone: (502) 515-7853

- The following firm will retire from all services, as detailed below:

Last Trade Date, December 31, 2014:

Newedge USA LLC No. 0608

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to SG Americas Securities, LLC (#0286) for the purpose of utilizing Fund/SERV, Networking, ACATS, ACATS/FundSERV, Obligation Warehouse, NYSE Listed, Bond and OTC Comparison services, as detailed below:

First Trade Date, January 2, 2015:

SG Americas Securities, LLC/Sub 608 No. 0608
 OTC/MUNI Alpha Identifier: PCAP
 NYSE Alpha Identifier: PCM

- The following firm will no longer participate in the DTCC Payment aXis service, as detailed below:

Last Trade Date, January 5, 2015:

Quasar Distributors, LLC/Samson Funds No. 3346

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- Please update the NSCC Directory dated December 2014 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change Effective First Trade Date, January 2, 2015</u>				
From: Newedge USA, LLC	PCAP	0608	FMAT	
To: SG Americas Securities, LLC	PCAP	0608	FMAT	
<u>Delete Last Trade Date, December 30, 2014</u>				
Solowey & Co.	NFSC	0226	SLWY	

- Please update the NSCC Directory dated December 2014 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change Effective First Trade Date, January 2, 2015</u>				
From: Newedge USA, LLC	PCAP	0608	NEFO	
To: SG Americas Securities, LLC	PCAP	0608	NEFO	
<u>Executing Broker Name Change Effective First Trade Date, January 2, 2015</u>				
From: Newedge USA, LLC	PCAP	0608	NENB	
To: SG Americas Securities, LLC	PCAP	0608	NENB	
<u>Delete Last Trade Date, December 29, 2014</u>				
OTA, LLC	MSCO	0050	OTAM	
<u>Delete Last Trade Date, December 31, 2014</u>				
Mountainview Securities, LLC	PERS	0443	MVSC	
<u>Delete Last Trade Date, January 2, 2015</u>				
Toussaint Capital Partners, LLC	PERS	0443	TCPX	
Retirement Resources Investment Corp.		0235	RRIC	
Coldwater Securities Incorporated	BSSC	0352	CLDS	
<u>Delete Last Trade Date, January 5, 2015</u>				
Detwiler, Fenton & Co.	NFSC	0226	FEDE	

Add First Trade Date, January 2, 2015

Detwiler, Fenton & Co.

CIMB Securities (USA), Inc.

NFSC

0226

FEDE

SILK

0501

CIMB

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.