



B #:	2271-14
Date:	January 6, 2015
To:	All Participants
Category:	Operations
From:	Asset Services – Corporate Actions Transformation Product Management Team
Attention:	Operations Managers/Technology Managers/Cashiers/Officers
Subject:	Production Support for Transmission of ISO 20022 Corporate Actions Messages

To assist corporate actions clients in transitioning from CCF files to ISO 20022 messaging, DTC is committed to providing full support. Typically, this begins with guiding users through the process of establishing connectivity to our network, as well as selecting subscription options and testing the ISO 20022 message formats. Several clients have already successfully completed their testing and are taking the ISO 20022 Announcements and Lifecycle Processing messages into their production systems. DTC will deploy ISO 20022 Instructions messages to its production system in March 2015; currently, Instructions messages generated from DTC’s test system are available for client testing.

So that we can support you as an ISO 20022 production customer, it is mandatory that you notify DTC when you move to production, by sending an email to the Corporate Actions Transformation team at CATransformation@dtcc.com. Please include your participant name, number and production date. If you are working with a 3rd party vendor, please provide vendor contact information.

Production support is available from 12 am Monday through 4 pm Saturday ET by calling the Connectivity, Activation and Production Support (CAPS) team at 1 888 382 2721 and choosing Option 5 then Option 3 from the menu. In the event you do not receive an expected production file transmission or production MQ messages, the CAPS team will address the issue.

DTC’s Corporate Actions Transformation team is available Monday through Friday during normal business hours to support your testing efforts. Please use the CATransformation@dtcc.com mailbox to notify us in the event you do not receive an expected test file transmission or encounter any testing issues. The mailbox is actively monitored to ensure a timely response.

Questions about preparing for Corporate Actions Transformation can be directed to your Relationship Manager or to the CA Transformation mailbox at CATransformation@dtcc.com.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.