



Important Notice

National Securities Clearing Corporation

A#:	7964
P&S #:	7539
Date:	January 7, 2015
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	Connectivity, Activation and Production Support (CAPS)
Subject:	MARTIN LUTHER KING JR DAY

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM, and all New York Banks will be closed on Monday, January 19, 2015 in observance of Martin Luther King Jr. Day. The following changes in NSCC's operations and schedules will be in effect.

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Friday, January 16, 2015 will be accepted no later than 8:00 pm on Friday, January 16, 2015.

Trade Capture & Reporting:

No UTC processing will take place on Monday, January 19, 2015.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement of Tuesday, January 20, 2015 will be accepted on Friday Evening, January 16, 2015.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery Services or Settlement Operations will be provided on Monday, January 19, 2015.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Friday, January 16, 2015 for settlement Tuesday, January 20, 2015.

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ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE

No ACATS activity will be accepted on Monday, January 19, 2015.

ACATS - FUND/SERV INTERFACE:

No ACATS-Fund/SERV Interface activity will be accepted on Monday, January 19, 2015.

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Monday, January 19, 2015.

OBLIGATION WAREHOUSE

OW will be closed for the business day Monday, January 19, 2015. No input will be received or processed and no output generated for OW. Any submission after close of business Friday, January 16, 2015 should be for the next business date. Normal processing will resume for business day Tuesday, January 20, 2015.

DTCC PAYMENT aXis

No DTCC Payment aXis activity will be accepted on Monday, January 19, 2015.

FUND/SERV®, NETWORKING & OMNI/SERV

No Fund/SERV, Networking or OMNI/SERV activity will be accepted on Monday, January 19, 2015. There will be no distribution of any Fund/SERV, Networking or DSA and DSP data on Monday, January 19, 2015.

ALTERNATIVE INVESTMENT PRODUCTS

The AIP System will process transactions and create settlement data files, but there will be no money settlement on Monday, January 19, 2015.

MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPSI or MFPSII activity will be accepted on Monday, January 19, 2015.

INSURANCE PROCESSING

IPS files received on Monday, January 19, 2015 will be processed. **POV files will be transmitted on Monday, January 19, 2015.** Subsequent output regarding all other files will be produced and distributed on Tuesday, January 20, 2015.

Questions regarding this Important Notice should be directed to your DTCC Relationship Manager, Client Account Representative, or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Connectivity Activation and Production
Support