



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 006
DATE: JANUARY 9, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G71231107	PFSQF	PLASTOFORM HOLDINGS LIMITED SHS (BMU)	01/09/2015
ADD ***	J1348E108	EKTQF	EKITAN & CO LTD, TOKYO SHS (JPN)	01/08/2015
ADD ***	P0939W108	BCHBF	BANCO DE CHILE SANTIAGO SHS (CHL)	01/09/2015
ADD ***	Q8511D157	SRQUF	SIRIUS RESOURCES NL ORDINARY FULLY PAID (AUS)	01/09/2015
ADD	22547T258	CRDQF	CREDIT SUISSE AG LONDON BRH CALL WTS EXP 10/31/17 (CHE)	01/08/2015
ADD	22547T266	CDSQF	CREDIT SUISSE AG LONDON BRH CALL WTS EXP 10/31/17 (CHE)	01/08/2015
ADD	22547T415	CBSQF	CREDIT SUISSE AG LONDON BRH CALL WTS EXP 11/24/2017	01/09/2015
ADD	45825Y103	INGYY	INTELLIGENT ENERGY HLDGS PLC SPONSORED ADR (GBR)	01/08/2015
DELETE				
SEC 12 J SUSPENSION	42970V109	HPGS	HIGH PLAINS GAS INC COM STK	01/09/2015

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MARKET MOVE

45172L209 CTC TO: CTCLY IFM INVESTMENTS LTD ADS RPSTG 15 CL A 01/09/2015
ORD SHS (CAYMAN ISL) (XNYS TO: XNAS)

SYMBOL CHANGES

632554200 NASVD TO: NASV NATIONAL AUTOMATION SVCS INC COM 01/09/2015
NEW

743322109 PGSC TO: PGSC PROGRESSIVE GREEN SOLUTIONS, INC. 01/09/2015
NEW COMMON STOCK

REORGANIZATION

DELETE Y2185G142 DYCZF DYNASTY CERAMIC PUBLIC CO LTD SHS
FOREIGN REG NEW (THAILAND)

ADD *** Y2185G159 DYCZF DYNASTY CERAMIC PUBLIC CO LTD(THA) 01/09/2015

DELETE 053802302 AVIX AVIX TECHS INC COM STK (NV)

ADD 053802401 AVIXD AVIX TECHNOLOGIES INC COM STK NEW 01/09/2015
(NV)

DELETE 336919105 FVI FIRST TR VALUE LINE EQUITY
ALLOCATION INDEX FD

ADD 33733E708 TUSA FIRST TRUST TOTAL US MKT APLHADEX 01/09/2015
ETF (ARCX TO: XNAS)

DELETE 350405106 FDNH FOUNDATION HEALTHCARE INC. COMMON
STOCK

ADD 350405205 FDNHD FOUNDATION HEALTHCARE INC. COMMON 01/09/2015
STOCK NEW

DELETE 69455R105 PACM PACIFIC METALS CORPORATION COMMON
STOCK

ADD 69455R204 PACMD PACIFIC METALS CORPORATION COMMON 01/09/2015
STOCK (NEW)

DELETE 725846109 PZZI PIZZA INN HLDGS INC COM

ADD 754198109 RAVE RAVE RESTAURANT GROUP INC 01/09/2015

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com

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