



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 189
DATE: OCTOBER 1, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	126402106	CSWI	CSW INDUSTRIALS INC	10/01/2015
ADD	279870109	EDGE	EDGE THERAPEUTICS INC COM	10/01/2015
ADD	58450J101	MDBKY	MEDIBANK PRIVATE LTD ADR (AUS)	09/30/2015
ADD	60470J103	MIRN	MIRNA THERAPEUTICS INC COM	10/01/2015
ADD	86881A100	SGRY	SURGERY PARTNERS INC COM	10/01/2015
DELETE				
DELETE ***	G0114Z132	AGDFD	AGA FOODSERVICE GROUP PLC (UNITED KINGDOM) ORDINARY SHARES	10/01/2015
DELETE ***	Q8511D157	SRQUF	SIRIUS RESOURCES NL ORDINARY FULLY PAID (AUS)	10/01/2015
DELETE	265338707	DUNRQ	DUNE ENERGY, INC. COMMON STOCK	10/01/2015
SYMBOL CHANGES				
	00855T102	AGBRE TO: AGRB	AGRIVEST AMERICAS, INC. COMMON STOCK	10/01/2015
	08634P101	BPSRE TO: BPSR	BESPOKE TRICYCLES INC COMMON STOCK	10/01/2015

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Non-Confidential

138909304	CNUCD	TO: CNUCF	CANUC RES CORP CDA COM PAR NO PAR (CANADA)	10/01/2015
836050302	SOULD	TO: SOUL	SOUL & VIBE INTERACTIVE INC COM	10/01/2015
85855A203	SBOTD	TO: SBOTF	STELLAR BIOTECHNOLOGIES INC ORD SHS NEW (CDA)	10/01/2015

REORGANIZATION

DELETE	05478R110	AZURF	AZINCOURT URANIUM INC COM DSTK NEW (CDA)	
ADD	05478R300	AZURD	AZINCOURT URANIUM INC COM NO PAR (CANADA)	10/01/2015
DELETE	549005106	LGLTF	LOYALIST GROUP LTD ORDINARY SHARES (CANADA)	
ADD	48190B104	LGLTF	KGIC INC , COM	10/01/2015
DELETE	750080103	RMETF	RACKLA METALS INC COMMON SHARES (CANADA)	
ADD	750080202	RMETD	RACKLA METALS INC COM NEW (CANADA)	10/01/2015
DELETE	811375104	SDRYF	SEA DRAGON ENERGY INC COMMON SHARES (CANADA)	
ADD	78410A107	SDRYD	SDX ENERGY INC COM(CANADA)	10/01/2015
DELETE	842200107	SOACF	SOUTHERN ARC MINERALS INC COMMON SHARES (CANADA)	
ADD	842200305	SOACD	SOUTHERN ARC MINERALS INC COM NEW (CANADA)	10/01/2015
DELETE	871105201	SWRF	SWORDFISH FINANCIAL, INC. COMMON STOCK	
ADD	83577M100	SWRFD	SOOUM CORP COM	10/01/2015

NAME CHANGE

DELETE	50046R101	KNMCY	KONAMI CORPORATION AMERICAN DEPOSITARY RECEIPTS - SPONSORED	
ADD	50046R101	KNMCY	KONAMI HOLDINGS CORPORATION AMERICAN DEPOSITARY RECEIPTS - S	10/01/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
