



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 197
DATE: OCTOBER 13, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	F2976F106	ELSSF	ELIS ORDINARY SHARE EUR 0,50 (FRANCE)	10/12/2015
ADD	G2920Y101	ECAC	E-COMPASS ACQUISITION CORP ORD SHS (CYM)	10/13/2015
ADD	G2920Y119	ECACR	E-COMPASS ACQUISITION CORP RIGHTS RPSTG 1/10 COM SH (CYM)	10/13/2015
ADD ***	P6425Q109	MGZZF	MAGAZINE LUIZA SA SHS (BRA)	10/12/2015
ADD	303901854	FAXXF	FAIRFAX FINL HLDGS LTD CUMTV PFD SHS SER H	10/12/2015
ADD	33737J109	FPA	FIRST TRUST ASIA PACIFIC EX-JAPAN ALPHADEX FUND	10/13/2015
ADD	33737J117	FEP	FIRST TRUST EUROPE ALPHADEX FUND	10/13/2015
ADD	33737J125	FLN	FIRST TRUST LATIN AMERICA ALPHADEX FUND	10/13/2015
ADD	33737J174	FDT	FIRST TRUST DEVELOPED MARKETS EX-US ALPHADEX FUND	10/13/2015
ADD	33737J182	FEM	FIRST TRUST EMERGING MARKETS ALPHADEX FUND	10/13/2015
ADD	33737J307	FEMS	FIRST TRUST EMERGING MARKETS SMALL CAP ALPHADEX FUND	10/13/2015

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Non-Confidential

ADD	33737J406	FDTS	FIRST TR DEVELOPED MKTS EX-US SMALL CAP ALPHADEX FUND	10/13/2015
ADD	397845108	GRCK	GREY CLOAK TECH INC	10/13/2015
ADD	49903T101	KNKX	KNIGHT KNOX DEV CORP	10/13/2015
ADD	303901854	FAXXF	FAIRFAX FINL HLDGS LTD CUMTV PFD SHS SER H	10/12/2015
ADD	618870109	MRRBF	MORRO BAY RES LTD COM (CAN)	10/12/2015

DELETE

DELETE ***	G2540X103	CDCZF	COPPER DEVELOPMENT CORPORATION ORD SHS (VIRGIN ISLANDS)	10/13/2015
DELETE	M6778Q121	LMNS	LUMENIS LTD CL B ORD SHS (ISR)	10/13/2015

SYMBOL CHANGES

800110504	SSPXD TO: SSPXF	SANDSPRING RES LTD (CANADA)	10/13/2015
87402T206	TAIPD TO: TAIPF	TAIPAN RES INC (CANADA)	10/13/2015

REORGANIZATION

DELETE	098543101	BKIT	BOOK IT LOCAL INC. COM	
ADD	093152106	BKIT	BLAKE INSOMNIA THERAPEUTICS INC	10/13/2015
DELETE	28501Y100	EEVR	ELECTRIC VEH RESH CORP COM	
ADD	00773M106	EEVRD	ADVANCED ENVIRONMENTAL PETE PRODUCERS INC COM	10/13/2015
DELETE	39341Q108	GRSP	GREEN SUPPLEMENTS ONLINE, INC. COMMON	
ADD	55078R101	LVYN	LVYUAN GREEN BLDG MATL TECHNOLOGY CORP COM	10/13/2015
DELETE	39468C205	LKEN	GREENE CONCEPTS INC COM STK (NY)	
ADD	39468C304	LKEND	GREENE CONCEPTS INC COM PAR \$0.0001 (NY)	10/13/2015
DELETE	72449Q100	PTOO	PITOOEY!, INC. COMMON STOCK	
ADD	74979T108	RDAR	RAADR INC COM	10/13/2015
DELETE	92257F103	VOXPY	VELOXIS PHARMACEUTICALS A S ADR (DENMARK)	
ADD	92257F202	VXPZY	VELOXIS PHARMACEUTICALS A S SPONSORED ADR (DENMARK)	10/13/2015

NAME CHANGE

DELETE	88322X103	TUFBY	THAI UNION FROZEN PRODUCTS PUBLIC CO LTD UNPONSORED ADR (TH)	
ADD	88322X103	TUFBY	THAI UNION GROUP PUBLIC COMPANY LIMITED AMERICAN DEPOSITARY	10/13/2015

* 19C-3 Security rule
*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
