



*Important Notice*

**National Securities Clearing Corporation - OTC**

**OTC #:** 202  
**DATE:** OCTOBER 20, 2015  
**TO:** ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION  
**ATTENTION:** OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES  
**FROM:** UNDERWRITING  
**SUBJECT:** UPDATES TO THE LIST OF OTC CLEARED SECURITIES

**THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.**

<b>Update</b>	<b>Cusip No Symbol</b>	<b>Name</b>	<b>Effective Date</b>
<b>ADD</b>			
ADD	00687D101 IOTS	ADESTO TECHNOLOGIES CORP COM (DE)	10/20/2015
ADD	05762T103 BLCL	BALANCE LABS INC	10/20/2015
ADD	09627J508 BLPPP	BLUEROCK RESIDENTIAL GROWTH REIT INC REDEEMABLE PFD SER A	<b>10/19/2015</b>
ADD	64117N204 NTTT	NETREIT COM	<b>10/19/2015</b>
ADD	642142103 NWBB	NEW BANCORP INC	10/20/2015
ADD	903002301 UMTYP	UMH PPTYS INC PFD REDEEMABLE SER B	<b>10/19/2015</b>
<b>DELETE</b>			
DELETE ***	N6817P109 PHGFF	KONINKLIJKE PHILIPS ELECTRONICS NV (NL)	10/20/2015
<b>PORTAL DELETE</b>			
DELETE	48124F445 JPXYZ	JPMORGAN CHASE BK N A LONDON BR 144A 3C7 WTS EXP 10/19/15	10/20/2015

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Non-Confidential

## MARKET MOVE

87611X204 TGARP TO: NGLSPRA TARGA RES PARTNERS LP PERP PFD 10/20/2015  
\* UNIT SER A (XNAS TO: XNYS)

## SYMBOL CHANGES

059806208 BNRME TO: BNRM BAND REP MGMT INC COM STK (NV) 10/20/2015

83190L208 SMBKD TO: SMBK SMARTFINANCIAL INC 10/20/2015

## REORGANIZATION

DELETE 148477102 CUNCF CASTLE RESOURCES INC ORD SHS  
(CANADA)

ADD 148477607 CUNCD CASTLE RES INC COM(CANADA) 10/20/2015

DELETE 677862104 LUKOY LUKOIL OIL COMPANY;SPON ADR RE

ADD 69343P105 LUKOY PJSC LUKOIL SPONSORED ADR (RUS) 10/20/2015

DELETE 87973V101 SOHFF TELSON RESOURCES INC ORDINARY  
SHARES

ADD 87973V200 SOHFD TELSON RES INC (CANADA) 10/20/2015

## PORTAL REORGANIZATION

DELETE 677862203 LUKFY LUKOIL CO SPONS 144A ADR

ADD 69343P204 LUKFY PJSC LUKOIL SPONSORED ADR 144A 10/20/2015

DELETE 677862807 LUKZY OIL CO LUKOIL 144A GDR TEMP 2002

ADD 69343P303 LUKZY PJSC LUKOIL GDR TEMP 2002 144A (RUS) 10/20/2015

\* 19C-3 Security rule

\*\*\* Foreign Netting Security

**ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: [smd\\_notices@dtcc.com](mailto:smd_notices@dtcc.com)**