



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 205
DATE: OCTOBER 23, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G4611M115	HCCHF	HOCHSCHILD MINING PLC, LONDON RTS (GBR)	10/22/2015
ADD	502074206	LMFAU	LM FDG AMER INC UIT 1 COM & 1 WT (DE)	10/23/2015
ADD	608008108	MFTGF	MOGO FIN TECHNOLOGY INC COM	10/23/2015
ADD	67421W109	OASM	OASMIA PHARMACEUTICAL AB ADR (SWE)	10/23/2015
DELETE				
DELETE	019344100	ANVGQ	ALLIED NEVADA GOLD CORP	10/22/2015
DELETE	90274B147	UBSDF	UBS AG LONDON BRH WT CALL SPREAD WTS 10/22/2015	10/23/2015
DELETE	927926139	VGZZF	VISTA GOLD CORPORATION WARRANTS EXPIRING 10/22/2015 (CANADA)	10/23/2015
SYMBOL CHANGES				
	03834T102	SNWTD	TO: SWRM APPSWARM INC COM	10/23/2015

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REORGANIZATION

DELETE	21751Q109	COPRF	COPPER ONE INC. ORDINARY SHARES (CANADA)	
ADD	21751Q208	COPRD	COPPER ONE INC (CANADA)	10/23/2015

NAME CHANGE

DELETE	29268L109	ELOKY	ENEL RUSSIA GLOBAL DEPOSITARY SHARE (SPONSORED) (RUSSIA)	
ADD	29268L109	ELOKY	ENEL RUSSIA PJSC GLOBAL DEPOSITARY RECEIPTS - SPONSORED	10/23/2015

CUSIP CHANGE

DELETE	00439V813	VXDN	ACCUSHARES COMMODITIES TR I ACCUSHARE SPOT CBOE VIX DOWN ETF	
ADD	00439V789	VXDN	ACCUSHARES COMMODITIES TR I ACCUSHARE SPOT CBOE VIX DOWN ETF	10/23/2015
DELETE	00439V821	VXUP	ACCUSHARES COMMODITIES TR I ACCUSHARES SPOT CBOE VIX UP ETF	
ADD	00439V797	VXUP	ACCUSHARES COMMODITIES TR I ACCUSHARES SPOT CBOE VIX UP ETF	10/23/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com