



Important Notice
National Securities Clearing Corporation

A#:	8103
P&S #:	7678
Date:	10/23/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, October 26, 2015:
Funds Distributor, LLC/Munder Funds No. 5617
- The following firm will no longer participate in the Networking and MF – Daily Price and Rate services, as detailed below:
Last Trade Date, October 23, 2015:
Global Trust Company/Citi-AQR No. 3245
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, October 21, 2015:
Fairway America, LLC No. 9837
Fairway America, LLC No. 9838

First Trade Date, October 22, 2015:
Michael J. Liccar & Company, LLC No. 9839
- The following additional number has been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis, ACATS, and ACATS Fund/SERV services as detailed below:
First Trade Date, October 26, 2015:
Unified Financial Securities, Inc./Fuller & Thaler Behavioral Core Equity Funds No. 3270
Contact: Joe Flannery
Phone: (317) 917-7425

- Please update the NSCC Directory dated October 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, October 19, 2015</u> Lime Brokerage LLC	BSSC	0352	LIJP	
<u>Delete Last Trade Date, October 22, 2015</u> Clayton, Lowell & Conger Inc	LGNT	0052	CLCI	

- Please update the NSCC Directory dated October 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, October 22, 2015</u> AG BD, LLC	BSSC	0352	AGBD	
<u>Add First Trade Date, October 20, 2015</u> Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZB	

- Please update the NSCC Directory dated October 2015 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, October 20, 2015</u> O'Brien & Shepard, Inc.	SWST	0279	OBSH	
<u>Delete Last Trade Date, October 21, 2015</u> American Network Securities Corp.	PERS	0443	AMNN	
<u>Delete Last Trade Date, October 22, 2015</u> Fixed Income Capital Partners	PERS	0443	FICP	
Constellation Wealth Advisors LLC	PERS	0443	CWAA	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.