



Important Notice

Fixed Income Clearing Corporation - GSD

GOV#: GOV084-15
DATE: OCTOBER 26, 2015
TO: GOVERNMENT SECURITIES DIVISION PARTICIPANTS
CATEGORY: SERVICE UPDATE
SUBJECT: SUBMISSION OF RULE FILING SR-FICC-2015-004

On October 26, 2015, Fixed Income Clearing Corporation (“FICC”) filed a proposed rule change (SR-FICC-2015-004) (“Rule Filing”) with the Securities and Exchange Commission (“SEC”) pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change will amend the Rulebook of the Government Securities Division to provide additional details regarding the requirement that GSD Members participate in annual testing of FICC’s business continuity and disaster recovery plans, in order to align those requirements with FICC’s obligations under Regulation SCI, as described further in the Rule Filing.

The full text of this proposed rule change (SR-FICC-2015-004) may be obtained by visiting the DTCC website at www.dtcc.com. Written comments on the proposed rule change may be addressed to Jacqueline Farinella, Vice President, Assistant General Counsel, 55 Water Street, New York, New York 10041, and your comments will be forwarded to the SEC. You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549-1090. We request that you provide FICC with a copy of your comments.

Questions regarding the Rule Filing or this Important Notice may be addressed to Jacqueline Farinella, Vice President, Assistant General Counsel, at 212-855-3216.

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