



Important Notice
National Securities Clearing Corporation

P&S #: 7677
A#: 8102
DATE: OCTOBER 26, 2015
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER,
COMPLIANCE OFFICER
FROM: GENERAL COUNSEL'S OFFICE
SUBJECT: NSCC RULE FILING (SR-NSCC-2015-008)

On October 23, 2015, National Securities Clearing Corporation ("NSCC") filed a proposed rule change (SR-NSCC-2015-008) ("Rule Filing") with the Securities and Exchange Commission ("SEC") pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change will amend NSCC's Rules to provide additional details regarding the requirement that Members participate in annual testing of NSCC's business continuity and disaster recovery plans, in order to align those requirements with NSCC's obligations under Regulation SCI, as described further in the Rule Filing.

The full text of this proposed rule change (SR-NSCC-2015-008) may be obtained by visiting the DTCC website at www.dtcc.com. Written comments on the proposed rule change may be addressed to Jacqueline Farinella, Vice President, Assistant General Counsel, 55 Water Street, New York, New York 10041, and your comments will be forwarded to the SEC. You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549-1090. We request that you provide NSCC with a copy of your comments.

Questions regarding the Rule Filing or this Important Notice may be addressed to Jacqueline Farinella, Vice President, Assistant General Counsel, at 212-855-3216.

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