



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 208
DATE: OCTOBER 28, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

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Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	Y3645L101	HLALF	HONG LEONG ASIA LTD SHS (SGP)	10/27/2015
ADD ***	Y52755108	LGCIF	LG CORP SHS (KOR)	10/27/2015
ADD	076588102	BEVVF	BEE VECTORING TECHNOLOGIES INTL INC COM	10/27/2015
ADD	090655119	BRTXW	BIORESTORATIVE THERAPIES INC WT EXP CL A	10/27/2015
ADD	30304R803	BITE	FACTORSHARES TR RETAIL FRANCHISE ETF (DE)	10/28/2015
DELETE				
DELETE	29413T106	ENVI	ENVIVIO INC COM STK (DE)	10/28/2015
DELETE	32054B103	FFSL	FIRST INDEPENDENCE CORPORATION DELAWARE COMMON STOCK	10/28/2015
DELETE	75882T101	RLIFF	REGAL LIFESTYLE CMNTYS INC COM	10/28/2015
DELETE	806733101	SHNDY	SCHINDLER HLDG AG ADR (CHE)	10/28/2015
DELETE	82936K110	SMACR	SINO MERCURY ACQUISITION CORP RIGHT	10/28/2015
DELETE	82936K201	SMACU	SINO MERCURY ACQUISITION CORP UNIT	10/28/2015

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SYMBOL CHANGES

032797300	AVXLD TO: AVXL	ANAVEX LIFE SCIENCES CORP COM NEW	10/28/2015
05478R300	AZURD TO: AZURF	AZINCOURT URANIUM INC COM NO PAR (CANADA)	10/28/2015
53680P308	LEXGD TO: LEXG	LITHIUM EXPL GROUP INC COM PAR \$0.001	10/28/2015
750080202	RMETD TO: RMETF	RACKLA METALS INC COM NEW (CANADA)	10/28/2015
78410A107	SDRYD TO: SDRYF	SDX ENERGY INC COM(CANADA)	10/28/2015
83577M100	SWRFD TO: SOUM	SOOUM CORP COM	10/28/2015
842200305	SOACD TO: SOACF	SOUTHERN ARC MINERALS INC COM NEW (CANADA)	10/28/2015

REORGANIZATION

DELETE	20452Y726	CID	COMPASS EMP INTL HIGH DIVI 100 VOLATILITY WGHTD INDEX ETF	
ADD	92647N881	CID	VICTORY PORTFOLIOS II CEMP INTL HGH DIV VOLATILITY WTD	10/28/2015
DELETE	20452Y734	CSB	COMPASS EMP US SM CAP HI DIV 100 VOLATILITY WGHTD INDEX ETF	
ADD	92647N873	CSB	VICTORY PORTFOLIOS II CEMP US SM CAP HIGH DIV VOLATILITY WTD	10/28/2015
DELETE	20452Y742	CDL	COMPASS EMP US HIGH DIVI 100 VOLATILITY WGHTD INDEX ETF (DE)	
ADD	92647N865	CDL	VICTORY PORTFOLIOS II CEMP US LRG CAP HGH DIV VOLATILITY WTD	10/28/2015
DELETE	20452Y767	CIL	COMPASS EMP INTL 500 VOLATILITY WGHTD INDEX ETF (DE)	
ADD	92647N840	CIL	VICTORY PORTFOLIOS II CEMP INTL VOLATILITY WTD	10/28/2015
DELETE	20452Y775	CSA	COMPASS EMP US SM CAP 500 VOLATOLITY WGHTD INDEX ETF (DE)	
ADD	92647N832	CSA	VICTORY PORTFOLIOS II CEMP US SM CAP VOLATILITY WTD INDEX	10/28/2015
DELETE	20452Y783	CDC	COMPASS EMP FDS TR 100 HIGH DIVID ENHANCED ETF	
ADD	92647N824	CDC	VICTORY PORTFOLIOS II CEMP US EQ INC ENHANCED VOLATILITY WTD	10/28/2015
DELETE	20452Y791	CIZ	COMPASS EMP FDS TR INTL 500 ENHANCED VOLATILITY ETF	
ADD	92647N816	CIZ	VICTORY PORTFOLIOS II CEMP DEV ENHANCED VOLATILITY WTD INDEX	10/28/2015

DELETE	20452Y817	CFO	COMPASS EMP FDS TR U S 500 ENHANCED VOLATILITY ETF	
ADD	92647N782	CFO	VICTORY PORTFOLIOS II CEMP US 500 ENHANCED VOLATILITY WTD INDEX	10/28/2015
DELETE	20452Y825	CSF	COMPASS EMP FDS TR US SMALL CAP 500 ENHANCED	
ADD	92647N774	CSF	VICTORY PORTFOLIOS II CEMP US DISCV ENHANCED VOLATILITY WTD INDEX	10/28/2015
DELETE	20452Y833	CFA	COMPASS EMP FDS TR U S 500 VOLATILITY WEIGHTED INDEX ETF	
ADD	92647N766	CFA	VICTORY PORTFOLIOS II CEMP US 500 VOLATILITY WTD INDEX	10/28/2015
DELETE	783513104	RYAAY	RYANAIR HOLDINGS PLC SPNS ADR	
ADD	783513203	RYAAY	RYANAIR HOLDINGS PLC SPONSORED ADR NEW	10/28/2015
DELETE	82936K102	SMAC	SINO MERCURY ACQUISITION CORP COMMON STOCK	
ADD	G97223104	WINS	WINS FIN HLDGS INC ORD SHS (CYM)	10/28/2015

WHEN ISSUE

ADD	Y71726106	PXSSV	PYXIS TANKERS INC COM W.I. (W.I Settlement date 11/03/2015)	10/28/2015
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* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
