



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 209
DATE: OCTOBER 29, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	G4954P170	ISSRF	ISHARES VI PLC SHS USD MSCI EMERG MKTS MIN VOLATILITY UCITS	10/28/2015
ADD	G68588105	PAAC	PACIFIC SPL ACQUISITION CORP SHS (VGB)	10/29/2015
ADD	G68588113	PAACR	PACIFIC SPL ACQUISITION CORP RT (VGB)	10/29/2015
ADD	G68588121	PAACW	PACIFIC SPL ACQUISITION CORP WT PUR SHS EXP (VGB)	10/29/2015
ADD	G6865N103	PACE	PACE HLDGS CORP CL A (CYM)	10/29/2015
ADD	G6865N111	PACEW	PACE HLDGS CORP WT EXP	10/29/2015
ADD ***	Q8242B108	SNTNF	SANTANA MINERALS LTD SHS (AUS)	10/28/2015
ADD ***	G9721Z252	WSDMF	WISDOMTREE ISSUER PLC PTG.SHS ETF CLASS HEDGED USD (IRL)	10/28/2015
ADD	01434T100	AXXDF	ALDERON IRON ORE CORP. ORDINARY SHARES (CANADA)	10/29/2015
ADD	62857M105	MYOK	MYOKARDIA INC COM	10/29/2015

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DELETE

DELETE	05476Y109	WLDVF	AZ MNG INC COM (CAN)	10/29/2015
DELETE	212326128	CFRXZ	CONTRAFECT CORP CLASS B WARRANTS EXP 10/31/15	10/29/2015
DELETE	21986V204	CVGFY	CORPORACION GEO SA DE CV SPONS ADR	10/29/2015
DELETE	438569105	HKAAY	HONK KONG AIRCRAFT ENG LTD ADR	10/29/2015
DELETE	543442602	LOOK	LOOKSMART LTD COM	10/29/2015
DELETE	70336T500	PATAQ	PATRIOT COAL CORP CLASS A (DE)	10/29/2015

PORTAL DELETE

DELETE	48124F403	JPXXZ	JP MORGAN CHASE BANK NA LONDON BRCH IND LOW EX PRICE WT 144A	10/29/2015
DELETE	70336T302	PATZQ	PATRIOT COAL CORP WARRANTS PUR CL A EXPIRE 12/18/23 144A	10/29/2015

MARKET MOVE

01434T100	AXX TO: AXXDF	ALERON IRON ORE CORP. ORDINARY SHARES (CANADA) (XNYS TO: XNAS)	10/29/2015
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SYMBOL CHANGES

Y71726106	PXSSV TO: PXS	PYXIS TANKERS INC COM	10/29/2015
002090108	ATVKE TO: ATVK	ATVROCKN	10/29/2015
257055202	DOMKD TO: DOMK	DOMARK INTL INC COM NEW	10/29/2015
603171109	MINED TO: MINE	MINERCO INC COM	10/29/2015
98484T204	YPPND TO: YPPN	YAPPN CORP COM NEW	10/29/2015

REORGANIZATION

DELETE	674870308	OPTT	OCEAN POWER TECHNOLOGIES, INC COM	
ADD	674870407	OPTT	OCEAN PWR TECHNOLOGIES INC COM PAR \$	10/29/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com