



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 193
DATE: OCTOBER 7, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	J9458T104	VXNNF	VINX CORP SHS(JPN)	10/06/2015
ADD ***	Y2725L106	GBTKF	GLOBETRONICS TECHNOLOGY BHS SHS (MYS)	10/06/2015
ADD ***	Y95440106	WSTTF	WESTPORTS HLDGS BHD SHS (MYS)	10/06/2015
ADD	00461U105	ACRS	ACLARIS THERAPEUTICS INC COM	10/07/2015
ADD	78501P203	SWKHD	SWK HLDS CORP COM NEW	10/07/2015
DELETE				
DELETE	25848T109	HILL	DOT HILL SYSTEMS CORP	10/07/2015

MARKET MOVE

498696103 * KLNDF TO: KLDX KLONDEX MINES LTD (XNAS TO: XASE) 10/07/2015

SYMBOL CHANGES

138620109 DSBOD TO: CATG CANTOR GROUP INC 10/07/2015

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REORGANIZATION

DELETE	032797102	AVXL	ANAVEX LIFE SCIENCES CORP COMMON STOCK (NV)	
ADD	032797300	AVXLD	ANAVEX LIFE SCIENCES CORP COM NEW	10/07/2015
DELETE	05289P105	AUTX	AUTOMATED-X, INC COMMON STOCK	
ADD	786239103	SRMX	SADDLE RANCH MEDIA INC COM	10/07/2015
DELETE	68384X100	OPXS	OPTEX SYSTEMS HLDGS INC COM STK	
ADD	68384X209	OPXSD	OPTEX SYS HLDGS COM NEW	10/07/2015
DELETE	81662B401	SWNLF	SELWYN RESOURCES LTD. COMMON SHARES (CANADA)	
ADD	810647107	SWNLF	SCOZING MNG LTD COM	10/07/2015
DELETE	88575L103	TSHN	3 SHINE TECHNOLOGIES INC COM	
ADD	776767105	TSHN	RORINE INTL HLDG CORP COM	10/07/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
