



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 195
DATE: OCTOBER 9, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	D5530G108	MSZGF	MSG LIFE AG SHS (DEU)	10/09/2015
ADD ***	F8691R101	SPIWF	SPIE SA ACT (FRANCE)	10/08/2015
ADD ***	J22260111	NPAKF	NORTH PAC BK LTD SHS (JAPAN)	10/08/2015
ADD ***	M3787F105	ELOHF	ELCO LTD SHS (ISR)	10/08/2015
ADD ***	P5892X134	ISTMF	ISHARES TRUST MEXICO SHS LATIXX MEXICO CETETRAC (MEX)	10/08/2015
ADD ***	Y09286108	BMOZF	BMO ASIA HIGH DIVID ETF UNIT TR FD MGR:BMO GLOBAL ASSET MGMT	10/09/2015
ADD ***	Y09288104	BMHKF	BMO HONG KONG BKS ETF UNIT TR,FD MGR:BMO GLOBAL ASSET MGMT	10/09/2015
ADD ***	Y3R57J108	HAOOF	HANKOOK TIRE CO LTD HANKOOK TIRE (KOREA)	10/08/2015
ADD	12634H101	PMTS	CPI CARD GROUP INC COM	10/09/2015
ADD	14055M205	CLACU	CAPITOL ACQUISITION CORP III UNIT 1 COM & 1/2 WT EXP	10/09/2015
ADD	25190F376	DBATF	DEUTSCHE BK AG LONDON BRH CALL WTS LKD TO EURO STOXX 50 INDEX	10/09/2015

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ADD	73937B498	DWTR	POWERSHARES ETF TR II DWA TACTICAL SECTOR ROTATION PORTFOLIO	10/09/2015
ADD ***	806733101	SHNDY	SCHINDLER HLDG AG ADR (CHE)	10/08/2015
ADD	87611X204	TGARP	TARGA RES PARTNERS LP PERP PFD UNIT SER A	10/08/2015

DELETE

DELETE	26985R104	EROC	EAGLE ROCK ENERGY PARTNERS, L.P. COM UNITS RPTNG LTD PRNTR	10/09/2015
DELETE	404160103	HEIIQ	HEI INC COM	10/08/2015
DELETE	464260108	IFIT	ISATORI INC COMMON STOCK (DE)	10/08/2015
DELETE	74979E101	RSHCQ	RS LEGACY CORP	10/08/2015
DELETE	885175307	THOR	THORATEC CORPORATION	10/09/2015

MARKET MOVE

	023850100	APP TO: APPCQ	AMERICAN APPAREL INC COM (XASE TO: XNAS)	10/09/2015
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SYMBOL CHANGES

	42012W206	HWKRD TO: HWKR	HAWKER ENERGY INC COM NEW	10/09/2015
	60754P106	HMSOD TO: MOCI	MODERN CINEMA GROUP	10/09/2015
	694781204	PPOTD TO: PPOTF	PACIFIC POTASH CORP (CANADA)	10/09/2015

REORGANIZATION

DELETE	538144304	LIVE	LIVEDEAL, INC. COMMON STOCK	
ADD	538142100	LIVE	LIVE VENTURES INC COM	10/09/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com