



Important Notice
National Securities Clearing Corporation

A#:	8115
P&S #:	7690
Date:	11/13/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, November 16, 2015:

Voya Retirement Advisors, LLC

No. 0291

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Voya Institutional Trust Company (#2360) for the purpose of utilizing the Networking service, as detailed below:

First Trade Date, November 17, 2015:

Voya Institutional Trust Company/0291

No. 0291

Contact: Gordon Elrod

Phone: (860) 580-2500

- The following firm will no longer participate in the Networking service, as detailed below:

Last Trade Date, November 12, 2015:

H. Beck, Inc.

No. 0731

- The following firm will change its MUNI Symbol, as detailed below:

First Trade Date, November 16, 2015:

RBC Capital Markets, LLC

No. 0235

From: MUNI Symbol:

DAIN

To: MUNI Symbol:

RBCD

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, November 12, 2015:

Northern Lights Distributors, LLC/Newfound Funds

No. 3068

First Trade Date, November 13, 2015:

Northern Lights Distributors, LLC/Alphacentric Funds

No. 3100

Delete Last Trade Date, November 13, 2015

Hartfield, Titus & Donnelly, LLC

PERS 0443 HFLD

Delete Last Trade Date, November 17, 2015

Credit Agricole Cheuvreux North America, Inc.

LYON 0651 CRAG

Add First Trade Date, November 10, 2015

AX Trading, LLC

LGNT 0052 AXTN

Add First Trade Date, November 16, 2015

Convergex Execution Solutions LLC

PERS 0443 CVGP

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.