



## Important Notice

National Securities Clearing Corporation

<b>P&amp;S #:</b>	<b>7691</b>
<b>A#:</b>	<b>8116</b>
<b>Date:</b>	<b>November 16, 2015</b>
<b>To:</b>	<b>All Participants</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants, I&amp;RS Participants</b>
<b>From:</b>	<b>Connectivity, Activation and Production Support (CAPS)</b>
<b>Subject:</b>	<b>THANKSGIVING DAY HOLIDAY SCHEDULE</b>

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM, and all New York Banks will be closed on Thursday, November 26, 2015 in observance of Thanksgiving Day. The following changes in NSCC's operations and schedules will be in effect.

### **COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Wednesday, November 25, 2015 will be accepted no later than 8:00 pm on Wednesday, November 25, 2015.

### **Trade Capture & Reporting:**

No UTC processing will take place on Thursday, November 26, 2015.

### **CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement of Friday, November 27, 2015 will be accepted on Wednesday Evening, November 25, 2015.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Thanksgiving Day processing to determine their schedules in effect.*

### **CENTRAL DELIVERY AND SETTLEMENT**

No Delivery Services or Settlement Operations will be provided on Thursday, November 26, 2015.

### **INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Wednesday, November 25, 2015 for settlement Friday, November 27, 2015.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

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## **ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE**

No ACATS activity will be accepted on Thursday, November 26, 2015.

## **ACATS - FUND/SERV INTERFACE:**

No ACATS-Fund/SERV Interface activity will be accepted on Thursday, November 26, 2015.

## **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Thursday, November 26, 2015.

## **OBLIGATION WAREHOUSE**

OW will be closed for the business day Thursday, November 26, 2015. No input will be received or processed and no output generated for OW. Any submission after close of business Wednesday, November 25, 2015 should be for the next business date. Normal processing will resume for business day Friday, November 27, 2015. Reminder, Friday, November 27, 2015 is a RECAPS day.

## **DTCC Payment aXis®,**

No DTCC Payment aXis activity will be accepted or distributed on Thursday, November 26, 2015. There will be no money settlement.

## **FUND/SERV®, NETWORKING & OMNI/SERV**

No Fund/SERV, Networking or OMNI/SERV activity will be accepted or distributed on Thursday, November 26, 2015. There will be no money settlement.

## **ALTERNATIVE INVESTMENT PRODUCTS**

The AIP System will process transactions and create settlement data files, but there will be no money settlement on Thursday, November 26, 2015.

## **MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPSI or MFPSII activity will be accepted or distributed on Thursday, November 26, 2015.

## **INSURANCE PROCESSING**

IPS files received on Thursday, November 26, 2015 will be processed. **POV files will be transmitted on Thursday, November 26, 2015.** Subsequent output regarding all other files will be produced and distributed on Friday, November 27, 2015.

Questions regarding this Important Notice should be directed to your DTCC Relationship Manager, Client Account Representative, or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Connectivity Activation and Production  
Support