



A#:	8118
P&S#:	7693
DATE:	NOVEMBER 20, 2015
TO:	ALL MEMBERS
FROM:	PRODUCT MANAGEMENT, EQUITIES CLEARANCE
ATTENTION:	MANAGING PARTNER/OFFICER; OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; MANAGER DATA PROCESSING DEPARTMENT
SUBJECT:	ENHANCEMENTS TO SMART/TRACK FOR CNS CORPORATE ACTIONS

In NSCC Important Notice A#8112, P&S# 7687 dated November 5, 2015, the National Securities Clearing Corporation (NSCC) announced a new feature in SMART/Track for CNS Corporate Actions (“CNR” product) that allows permissioned staff to receive email alerts when their firm is assigned potential liability or final liability by CNS for a voluntary corporate action event or if their firm had a payment update on a voluntary corporate action event in CNS where they had an outstanding position in a CNS reorganization subaccount. **Members are advised of the following update with respect to granting entitlements.**

Entitlements Update

To allow a Member’s Super Access Coordinator (SAC) for SMART/Track for CNS Corporate Actions to grant entitlements for these email alerts, a new role has been created entitled “**Voluntary Corporate Action Email Update**”. A sample screen shot is below. Users who are granted this role have access to a new **Contact Management** section in SMART/Track for CNS Corporate Actions which allows them to “Add” or “View” Contacts. These options will enable them to register or update permissioned staff to receive email alerts. The guidelines for using Contact Management are outlined in the original important notice issued on November 5.

Members are advised this enhancement was implemented to production on **November 20, 2015**. SAC’s can begin to grant users access to the new role immediately.

SMART/Track for CNS Corporate Actions

- Roles
- Cash Reconciliation Inquiry
- Cashering Operations Approval
- Cashering Operations Inquiry
- Mandatory Corporate Action Inquiry
- Optional Dividend Election Add/Update
- Optional Dividend Inquiry
- Voluntary Corporate Action Email Update
- Voluntary Corporate Action Inquiry
- Voluntary Corporate Action Instruction Add/Update
- Voluntary Corporate Action Update



ADDITIONAL INFORMATION

A copy of this Important Notice can be obtained from the DTCC web site at <http://www.dtcc.com>. If you have any questions regarding these enhancements, please contact your DTCC Relationship Manager or CNS Operations at (888) 382-2721, Option 2, then 2.