



Important Notice
National Securities Clearing Corporation

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|-------------------|---|
| A#: | 8121 |
| P&S #: | 7696 |
| Date: | 11/27/2015 |
| To: | ALL PARTICIPANTS |
| Attention: | OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS |
| From: | ACCOUNT ADMINISTRATION |
| Subject: | CHANGES IN THE LIST OF PARTICIPANTS |

- The following firm will retire from all services, as detailed below:

Last Trade Date, November 30, 2015:

Quasar Distributors, LLC/Gerstein Fisher Funds

No. 5938

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 1, 2015:

GFA Securities, LLC

No. 5938

Contact: Casey Sauer

Phone: (414) 203-9122

- The following firms will retire from all services, as detailed below:

Last Trade Date, November 27, 2015:

MML Distributors, LLC/FMT Preferred

No. 7982

Global Trust Company/NADA

No. 7075

Last Trade Date, November 30, 2015:

Quasar Distributors, LLC/Visium Funds

No. 7054

Last Trade Date, December 1, 2015:

Fulcrum Securities LLC

No. 6923

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:

First Trade Date, December 1, 2015:

Leigh Baldwin & Co., LLC

No. 6013

- The following firm will begin participation in the MF – Profile service, as detailed below:

First Trade Date, December 1, 2015:

ALPS Distributors Inc./Resource Real Estate Diversified Income Fund No. 7047

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, November 27, 2015:

Deer Isle Financial, LLC No. 9840
 Blackstone Advisory Partners L.P. No. 9862
 iCapital Advisors, LLC No. 9864
 Great Lakes Fund Solutions, Inc. No. 9859
 Great Lakes Fund Solutions, Inc. No. 9860
 Great Lakes Fund Solutions, Inc. No. 9861

First Trade Date, December 1, 2015:

SS&C Technologies, Inc. No. 9869

- The following additional numbers have been assigned to Matrix Capital Group, Inc. (#5681) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis, ACATS, and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 1, 2015:

Matrix Capital Group, Inc./M3Sixty ISE Cyber Security and Defense Fund No. 3286
 Contact: Ted Akins
 Phone: (816) 787-0723

First Trade Date, December 1, 2015:

Matrix Capital Group, Inc./HedgeRow Capital No. 3287
 Contact: Ted Akins
 Phone: (816) 787-0723

- Please update the NSCC Directory dated November 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

| <u>Clearing Broker Identifiers</u> | | <u>Executing Broker Identifiers</u> | |
|------------------------------------|----------------|-------------------------------------|----------------|
| <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | <u>Numeric</u> |

Add First Trade Date, December 1, 2015

Olden Lane Securities, LLC 0235 OLNE

- Please update the NSCC Directory dated November 2015 (for OTC Executed-By List) as detailed below:

| <u>Clearing Broker Identifiers</u> | | <u>Executing Broker Identifiers</u> | |
|------------------------------------|----------------|-------------------------------------|----------------|
| <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | <u>Numeric</u> |

Delete Last Trade Date, November 23, 2015

MidAmerica Financial Services, Inc. LGNT 0052 MFSI

Delete Last Trade Date, November 24, 2015

Craig Scott Capital, LLC

LGNT 0052 CSCP

Add First Trade Date, November 25, 2015

CG Capital Markets, LLC

PERS 0443 CGCM

- Please update the NSCC Directory dated November 2015 (for Municipal Bond Comparison System) as detailed below:

Clearing Broker Identifiers

Executing Broker Identifiers

Alpha

Numeric

Alpha

Numeric

Delete Last Trade Date, November 23, 2015

MyStockFund Securities, Inc.

LGNT 0052

MSFS

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.