

#:	DDR 2015-02
Date:	November 3, 2015
To:	Distribution
From:	Legal Department
Subject:	Revised Rulebook and Disclosure Document

This notice is to inform all Users of revisions to the DTCC Data Repository (U.S.) LLC Rulebook and Disclosure Document. Capitalized terms used herein and not otherwise defined shall have those meanings referenced in the Rulebook.

On November 3, 2015 DDR submitted written certification to the U.S. Commodity Futures Trading Commission (the “Commission” or “CFTC”) pursuant to Regulation § 40.6, advising of the following changes to the DDR Rulebook and Disclosure Document:

- I. In addition to a number of general edits DDR has amended the DDR Rulebook as follows:
 - a. DDR has amended Section 2.2, Management, related to the composition of the DDR Board of Directors (“Board”) and the process for review of the Board, to conform to changes made to the DDR Board Charter.
 - b. DDR has amended Section 5.3, Ancillary Services Block Trade Calculation Service, to accurately reflect the DDR business operation.
- II. In addition to a number of general edits, DDR has amended the Disclosure Document as follows:
 - a. DDR has amended the cover page of the Disclosure Document to add the effective date.
 - b. DDR has amended Section 1, Access to Services Offered and Swap Data Maintained by DDR, to clarify the types of registrations in each of the jurisdictions in which DDR is registered or plans to register.
 - c. DDR has amended Section 9, DDR’s Governance Arrangements, to conform the composition of the DDR Board of Directors (“Board”) and the process for review of the Board, to changes made to the DDR Board Charter.

These revisions are effective 10 days after submission to the CFTC, unless stayed pursuant to 17 C.F.R. Part 40.

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The current effective version of the DDR Rulebook and Operating Procedures can be found on the DTCC website at http://www.dtcc.com/~media/Files/Downloads/legal/rules/DDR_Rulebook.pdf.

Please see the attached redline document to review the changes that have been made.

Should you have any questions about this Important Notice, please contact Debra Cook, DDR Counsel, at dcook@dtcc.com.