

A#: 8122
P&S# 7697
Date: December 01, 2015
To: ALL MEMBERS AND LIMITED MEMBERS
Attention: MANAGERS; OPERATIONS DEPT FOR ANNUITIES & LIFE INSURANCE,
BROKERAGE OPERATIONS, INFORMATION SYSTEMS
From: NSCC Insurance & Retirement Services
Subject: I&RS 2016 March Enhancement Release

Overview:

As part of our ongoing effort to support industry requests, the Insurance and Retirement Processing Services (I&RS) has scheduled an enhancement release for March 2016. This is the first of two scheduled enhancement releases for 2016. I&RS Enhancement Releases include Review Board Enhancement Requests submitted by members, as well as maintenance items National Securities Clearing Corporation (“NSCC”) deems necessary to improve the overall operational performance of the systems.

The enhancements in the March 2016 release will affect the following services and functionalities:

AAP – Annuity Asset Pricing
APP/SUB – Initial Applications & Subsequent Premiums
ATT – Attachments and Attachments Access
FAR – Financial Activity Reporting
IFT – Inforce Transactions and Inforce Transactions Access
IFT Web Services
POV - Positions and Values, which is made up of 3 types:

- PVF – Positions & Values Full Refresh File
- PNF – Positions New Business File
- PFF – Positions Focused File

PRP – Positions for Retirement Plans
STL – Settlement for Insurance Processing

Schedule:

- Test – Thursday, February 25, 2016
- Production – Thursday, March 24, 2016

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Specification Documents:

Documentation is available at the I&RS website, <http://www.dtcc.com/investment-product-services/insurance-and-retirement-services/participant-support-services.aspx>. Click on the Enhancements section.

All changes on the record layouts are highlighted in yellow. Items may be added to the list below as NSCC may deem advisable.

- 2016 March Enhancement Release Technical Specifications Document
- Draft APP/SUB Record Layouts
- Draft FAR Record Layouts
- Draft IFT Record Layouts
- Draft POV Record Layouts
- Draft PRP Record Layouts
- Draft STL Record Layouts

Release Specifications:

These changes are based on the recommendations made by the originator of the enhancement request and approved by I&RS.

The enhancement requests can be found at our website under “Current Release” in the Enhancements section of our website. Do not use the actual enhancement request document for programming. The Draft Record Layouts and 2016 March Enhancement Release Technical Specifications Document should be used for this purpose. We are making the enhancement request documents available to summarize the business purpose for the changes.

For additional information on this enhancement release, please contact your Relationship Manager.

Jon Volpe
Product Manager