



Important Notice
National Securities Clearing Corporation

A#: 8127
P&S# 7702
DATE: DECEMBER 2, 2015
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER; P&S MANAGER, CASHIER;
OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER,
IT MANAGER
FROM: PRODUCT MANAGEMENT
SUBJECT: NATIONAL STOCK EXCHANGE RE-LAUNCH

Subject to the SEC approval of the National Stock Exchange, Inc. (NSX) filing to resume trading, NSCC will facilitate the clearing of trades executed on the re-launched Exchange. The exact launch date will be determined by the Exchange and communicated to all market participants. Please refer to the NSX Exchange website for additional information pertaining to their implementation schedule.

NSCC will modify its output reporting to account for the re-launched exchange. The NSX Exchange code will be '080' - the same as the one used prior to its trading cessation in 2014. All NSX equity trades will be reported with the Contra Clearing Firm number of 8602 and Contra Executing Broker (MPID) "ANON" in support of post trade anonymity.

| Contract Field | Reported Values |
|---------------------------------------------------------------------------------------------------------------------------------|-----------------|
| <u>Contra Clearing Firm</u> Legacy MRO: position 70-73 UTC MRO: position 280-287 UTC Messages: Tag 448, party role 18 | 8602 |
| <u>Contra Executing Firm</u> Legacy MRO: position 47-50 UTC MRO: position 288-295 UTC Messages: Tag 448, party role 37 | ANON |
| <u>Contra Introducing Firm</u> Legacy MRO: n/a (new) UTC MRO: position 296-303 UTC Messages: Tag 448, party role 17 | ANON |
| <u>Contra Badge ID</u> Legacy MRO: position 85-88 UTC MRO: position 304-311 UTC Messages: Tag 448, party role 12 | ANON |

Non-Confidential

DTCC, on behalf of its subsidiaries, is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

For questions about this Important Notice, please contact your Relationship Manager or email the undersigned at ppieczara@dtcc.com. Questions relating to National Stock Exchange testing or the launch should be addressed with your exchange contact(s).

Pawel Pieczara
Product Management, Equities Clearance