



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 245
DATE: 12/21/2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	G2118P102	CCRC	CHINA CUSTOMER RELATIONS CTRS INC ORD SHS (VGB)	12/21/2015
DELETE				
SEC 12J SUSPENSION	206763302	CNOP	CONDOR CAPITAL INC	12/21/2015
SEC 12J SUSPENSION	756825105	UNIGF	RED OAK MINING CORP ORDINARY SHARES	12/21/2015
DELETE	853887206	SRCTQ	STANDARD REGISTER CO COM NEW	12/21/2015
DELETE	92718N109	VIMC	VIMICRO INTERNATIONAL CORP ADR (KY)	12/21/2015
MARKET MOVE				
	89656D101 *	TPHS	TRINITY PLACE HLDGS INC COM STK (DE) (XNAS TO: XASE)	12/21/2015
SYMBOL CHANGES				
	05463W108	APYVD TO:	AIOM AXIOM HLDGS INC	12/21/2015

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

065104101	FBBN TO: BCAL	BANK OF SOUTHERN CALIFORNIA, NATIONAL ASSOCIATION COMMON STO	12/21/2015
126280106	CSAX TO: CSAXE	CSA HLDGS INC	12/21/2015
38120H107	GSBXD TO: GSBX	GOLDEN ST BANCORP COM	12/21/2015
43858G107	HKTV TO: HKTVY	HONG KONG TELEVISION NETWORK LTD	12/21/2015
45663L502	IFNYD TO: IFNY	INFINITY ENERGY RESOURCES INC COM	12/21/2015
53803Y103	LVFZ TO: LVFZE	LIVE FIT CORP	12/21/2015

REORGANIZATION

DELETE	33733J103	CU	FIRST TRUST ISE GLOBAL COPPER INDEX FD	
ADD	33734X838	FTRI	FIRST TR ETF FD II FIRST TR INDXX GLOBAL NAT RESOURCES (MA)	12/21/2015
DELETE	33737C104	PLTM	FIRST TRUST ISE GLOBAL PLATINUM INDEX FD	
ADD	33734X820	FTAG	FIRST TR ETF FD II FIRST TR INDXX GLOBAL AGRICULTURE (MA)	12/21/2015
DELETE	74443H102	PRMLY	PRUMO LOGISTICA SA SPONSORED ADR (BRAZIL)	
ADD	74443H201	PRMLD	PRUMO LOGISTICA S A SPONSORED ADR NEW (BRAZIL)	12/21/2015

NAME CHANGE

DELETE	33734X846	CIBR	FIRST TR FD II NASDAQ CEA CYBERSECURITY ETF (MA)	
ADD	33734X846	CIBR	FIRST TR FD II NASDAQ CYBERSECURITY ETF (MA)	12/21/2015
DELETE	33737K106	FONE	FIRST TRUST NASDAQ CEA SMARTPHONE INDEX FD	
ADD	33737K106	FONE	FIRST TRUST NASDAQ SMARTPHONE INDEX FD COM SHS	12/21/2015

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
