



*Important Notice*  
National Securities Clearing Corporation

<b>A#:</b>	8141
<b>P&amp;S #:</b>	7716
<b>Date:</b>	12/24/2015
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, December 30, 2015:**

Signator Financial Services, Inc.

No. 0427

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Signator Investors, Inc. (#0372) for the purpose of utilizing the Fund/SERV, Networking, DTCC Payment aXis, MF – Daily Price and Rate, and Insurance Processing services, as detailed below:

**First Trade Date, December 31, 2015:**

Signator Investors, Inc./Signator Financial Services

No. 0427

Contact: David Saxton

Phone: (617) 572-0484

- The following firm will retire from all services, as detailed below:

**Last Trade Date, December 28, 2015:**

Federal Life Insurance Company (Mutual)

No. 6333

- The following firm will change its name only, as detailed below:

**First Trade Date, January 5, 2016:**

From: Quasar Distributors LLC/Infusive Funds

No. 3284

To: Quasar Distributors LLC/Ruby Capital Funds

No. 3284

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:

**First Trade Date, December 29, 2015:**

SEI Investments Distribution Co./Advisors Inner Circle Fund II

No. 7052

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, December 29, 2015:**

Four Springs Capital Trust No. 9868

**First Trade Date, December 30, 2015:**

Z Capital Credit Partners, LLC No. 9876

- The following additional number has been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis, ACATS, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, December 31, 2015:**

Unified Financial Securities, Inc./Miles Capital Funds No. 3285

Contact: Joe Flannery  
Phone: (317) 917-7425

- Please update the NSCC Directory dated December 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, December 23, 2015</u></b>				
BTIG, LLC		BSSC 0352	BTIX	
Alton Securities Group Inc		0235	ASGI	
<b><u>Delete Last Trade Date, December 31, 2015</u></b>				
Keeley Investment Corp.		NFSC 0226	KEEL	
<b><u>Add First Trade Date, December 28, 2015</u></b>				
Manorhaven Capital LLC		0235	BPFI	

- Please update the NSCC Directory dated December 2015 (for OTC Executed-By List) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change Effective First Trade Date, December 22, 2015</u></b>				
From: Electronic Transaction Clearing, Inc.		ETCC 0873	ETBS	
To: Electronic Transaction Clearing, Inc.		ETDP 3361	ETBS	
<b><u>Clearing Broker Change Effective First Trade Date, December 24, 2015</u></b>				
From: Electronic Transaction Clearing, Inc.		ETCC 0873	ETCJ	
To: Electronic Transaction Clearing, Inc.		ETDP 3361	ETCJ	

**Clearing Broker Change Effective First Trade Date, December 24, 2015**

From: Electronic Transaction Clearing, Inc.	ETCC	0873	ETBA
To: Electronic Transaction Clearing, Inc.	ETDP	3361	ETBA

**Clearing Broker Change Effective First Trade Date, December 24, 2015**

From: Electronic Transaction Clearing, Inc.	ETCC	0873	ETDR
To: Electronic Transaction Clearing, Inc.	ETDP	3361	ETDR

**Delete Last Trade Date, December 23, 2015**

Convergex Prime Services LLC	BSSC	0352	NOPO
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**Delete Last Trade Date, December 24, 2015**

Ameritas Investment Corp.		0235	AICB
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**Add First Trade Date, December 22, 2015**

Hanover Securities	IBKR	0017	SIII
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- Please update the NSCC Directory dated December 2015 (for Municipal Bond Comparison System) as detailed below:

<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Delete Last Trade Date, December 24, 2015**

Torino Capital LLC		0235	TORI
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If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).