



Important Notice
National Securities Clearing Corporation - OTC

OTC #: 252
DATE: 12/31/2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,
MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,
MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE
LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD***	G4954P105	IVIVF	ISHARES VI PLC SHARES EXCHANGE TRADED FUND USD (IRELAND)	12/31/2015
ADD***	J23254105	HSHIF	HOSHIZAKI ELECTRIC CO LTD (JPN)	12/31/2015
ADD***	J59332106	NSSXF	NS SOLUTIONS CORP TOKYO (JPN)	12/31/2015
ADD***	P8511H118	SRDDF	SAO PAULO ALPARGATAS SA PFD SHS (BRA)	12/30/2015
ADD***	Q5563Q102	LNHBF	LIONHUB GROUP LTD SHS (AUS)	12/30/2015
ADD***	Y2290S106	ENCLF	ENICE HLDG CO LTD CHESS DEPOSITARY INTERESTS 1:1 (HKG)	12/30/2015
ADD	055975106	BMRTF	BMO SHORT PROVINCIAL BD INDEX ETF UIT (CDA)	12/30/2015
PORTAL ADD				
ADD	45779N103	INNAZ	INNOVACARE INC. COM 144A (PUERTO RICO)	12/30/2015
DELETE				
DELETE ***	D3347A109	RLTEF	REALTIME TECHNOLOGY AG, MUENCHEN NAMEN -AKT (GERMANY)	12/31/2015

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

DELETE ***	D48015107	KROMF	KROMI LOGISTIK AG HAMBURG ORDINARY SHARES (GERMANY)	12/31/2015
DELETE ***	G05516102	PATPF	PERSONAL ASSETS TRUST PLC ORD SHS (UK)	12/31/2015
DELETE ***	G6320A100	MSTZF	MSTAR SEMICONDUCTOR INC ORD SHS (CYM)	12/31/2015
DELETE ***	G68612103	PACXF	PACIFIC TEXTILES HOLDINGS LTD ORDINARY SHS (CAYMAN ISLANDS)	12/31/2015
DELETE ***	G7605E106	RDSWF	ROADSHOW HLDG LTD ORD SHS (BMU)	12/31/2015
DELETE ***	G81273115	SHOCF	SHORE CAPITAL GROUP LIMITED ORDINARY SHARES PV (GUERNSEY)	12/31/2015
DELETE ***	G8527P104	SEQUF	STRATEGIC EQUITY CAPITAL PLC LONDON ORD SHS (UK)	12/31/2015
DELETE ***	J39960109	MUJIF	MARUFUJI SHEET PILING CO LTD TOKYO ORD SHS (JPN)	12/31/2015
DELETE ***	J55784102	NPNKF	NIPPON SHINYAKU CO LTD ORDINARY SHARES	12/31/2015
DELETE ***	J70080106	SDSBF	SDS BIOTECH KK, TOKYO ORDINARY SHARES (JAPAN)	12/31/2015
DELETE ***	J7029N101	SKCKF	SEIKO HOLDINGS CORPORATION ORDINARY SHARES (JAPAN)	12/31/2015
DELETE ***	J82883109	TKKRF	TEKKEN CORP (JAPAN)	12/31/2015
DELETE ***	K4033J117	TBGRF	THE BANK OF GREENLAND A/S NUUK ORDINARY SHARES (GREENLAND)	12/31/2015
DELETE ***	K6S686100	MAASF	MATAS A S SHS (DENMARK)	12/31/2015
DELETE ***	N6200R100	NEDSF	NEDSENSE ENTERPRISES NV ORD SHS (NLD)	12/31/2015
DELETE ***	P93081126	TDGDF	TRANSPORTADORA DE GAS DEL NORTE SA TGN SH -C- 1 VOTE	12/31/2015
DELETE ***	Q6295X118	MBLAF	MOBILE EMBRACE LTD ORD SHS (AUS)	12/31/2015
DELETE ***	Q6783E101	NMNRF	NOBLE MINERAL RESOURCES, LTD. ORDINARY SHARES (AUSTRALIA)	12/31/2015
DELETE ***	Q7048H103	OKCPF	OAKTON LTD ORDINARY SHARES (AUSTRALIA)	12/31/2015
DELETE ***	Q8381J102	SDLDF	SDI LTD ORD SHS (AUSTRALIA)	12/31/2015
DELETE ***	S5141W108	MXTMF	MIX TELEMATICS LIMITED SHS (SOUTH AFRICA)	12/31/2015
DELETE ***	X7193Q132	RAMIF	RAMIRENT OYJ HELSINKI ORDINARY SHARES (FINLAND)	12/31/2015
DELETE ***	X72559101	RUKKF	RAUTARUUKKI OY ORD SHS (FIN)	12/31/2015
DELETE ***	Y52144105	LGANF	LARGAN PRECISION CO LTD (TAIWAN)	12/31/2015
DELETE ***	Y5240P106	LEXEF	LEXTAR ELECTRONICS CORP SHS (TAIWAN)	12/31/2015
DELETE ***	Y5285V109	LIHF	LII HEN INDUSTRIES BHD ORD SHS (MYS)	12/31/2015
DELETE ***	Y5335F104	LTPCF	LOTUS PHARMACEUTICAL CO LTD ORDINARY SHARES (TAIWAN)	12/31/2015
DELETE ***	Y5945N109	MEZMF	MERITZ FIRE & MARINE INSURANCE CO LTD ORD SHS (KOR)	12/31/2015
DELETE ***	Y6238U107	NICDF	NATIONAL INFORMATION & CREDIT EVALUATION INC. SHS (KOREA)	12/31/2015
DELETE ***	Y6624V107	PFOSF	PACIFIC ONLINE SYSTEMS CORP SHS (PHILLIPINES)	12/31/2015

Non-Confidential

DELETE ***	Y6801C104	PRLRF	PERENNIAL CHINA RETAIL TRUST UNITS (SGP)	12/31/2015
DELETE ***	Y7123D101	PBEIF	PT BENTOEL INTERNATIONAL INVESTAMA TBK ORD SHS (INDONESIA)	12/31/2015
DELETE ***	Y7145V105	PSUGF	PT SUGI SAMAPERSADA TBK ORDINARY SHARES (INDONESIA)	12/31/2015
DELETE ***	Y73196126	RBLAF	ROBINSONS LAND CORPORATION	12/31/2015
DELETE ***	Y8415D106	TGBMF	TAIWAN CEMENT CORP ORDINARY SHARES	12/31/2015
DELETE ***	Y8668H127	TIWPF	THAILAND IRON WORKS PUB CO UNITS NON-VOTNG DEP REC(THAILAND)	12/31/2015
DELETE ***	Y8691Q102	THAMF	THAI MITSUWA PUBLIC CO LTD SHS (THAILAND)	12/31/2015
SEC 12J SUSPENSION	00752H102	ABAT	ADVANCED BATTERY TECHNOLOGIES INC	12/31/2015
DELETE	173078734	PDYXN	CITIGROUP GLBL MKTS PRIN PROT CALLBLE NTS 2005-2 DYNAMIC P	12/31/2015
DELETE	17308C783	PTSAJ	CITIGROUP GLOBAL FNDG PRIN PROTECTED NT INC APPR	12/31/2015
DELETE	549481109	LUCFF	LUCID ENTERTAINMENT INC	12/31/2015
DELETE	552875106	MGII	MGI INDS INC UNCLASSIFIED	12/31/2015
DELETE	638092106	NTXCZ	NATIONAL TAX CREDIT PARTNERS LTD PARRNERSHIP	12/31/2015
DELETE	640287108	NLSHU	NELSON HLDG CO LLC UNIT	12/31/2015
DELETE	651410201	NWHDU	NEWHALL HOLDING COMPANY LLC UNIT CL B	12/31/2015
DELETE	651412108	NWDUU	NEWHALL INTERMEDIARY HOLDING COMPANY LLC UNIT	12/31/2015
DELETE	66704N109	NASGF	NORTHSTAR AEROSPACE INC	12/31/2015
SEC 12J SUSPENSION	688282102	OSKC	OSK CAPITAL III CORP (NV)	12/31/2015
DELETE	70931U100	PAUC	PENNY AUCTION SOLUTIONS INC. COMMON STOCK	12/31/2015
DELETE	747220101	PYDPF	PYRAMID PETROLEUM INC COMMON SHARES (CANADA)	12/31/2015
DELETE	74839V103	QGLOF	QUESTUS GLOBAL CAPITAL MARKET LTD COMMON SHARES (CANADA)	12/31/2015
DELETE	767223100	RVIIIF	RIO VERDE INDUSTRIES INC ORDINARY SHARES (CANADA)	12/31/2015
DELETE	795916105	SMSSF	SAMSYS TECHNOLOGIES INC	12/31/2015
DELETE	833965106	SRKMF	SOFTROCK MINERALS LTD ORDINARY SHARES	12/31/2015
DELETE	86359J104	STUS	STRUCTURES USA INC	12/31/2015
DELETE	86401K109	STBD	STUDIO II BRANDS INC COM STK (FL)	12/31/2015
PORTAL DELETE				
DELETE	48124F601	JPBOZ	JPMORGAN CHASE BK NA LONDON 144A 3C7 WT EXP 12/30/15	12/31/2015
DELETE	629209107	NMIHZ	NMI HLDGS INC COM STK 144A (DE)	12/31/2015

Non-Confidential

DELETE	727663114	PLNYZ	PLATINUM ENERGY SOLUTIONS, INC 144A WARRANT EXP 02/28/18	12/31/2015
DELETE	86181Q102	SGATZ	STONEGATE MORTGAGE CORPORATION COMMON 144A	12/31/2015

SYMBOL CHANGES

	10568D104	ECRYD TO: BVTK BRAVATEK SOLUTIONS INC COM		12/31/2015
	65339W203	NXTND TO: NXTN NEXT10 INC COM STK		12/31/2015
	929030203	VOPAE TO: VOPA VOPIA, INC. COMMON STOCK		12/31/2015

REORGANIZATION

DELETE	Q7712M107	POTTF	POTASH MINERALS LTD ORDINARY SHARES (AUSTRALIA)	
ADD	Q1870Q109	POTTF	BUDDY PLATFORM LTD ORD SHS (AUS)	12/31/2015
DELETE	174532101	CZYB	CITIZENS COMMUNITY BANK SOUTH HILL (VA)	
ADD	12506C107	CZYB	CCB BANKSHARES INC COM STK (VA)	12/31/2015
DELETE	203658109	CFBN	COMMUNITY 1ST BANK (CA) COMMON STOCK	
ADD	20369D104	CFBN	COMMUNITY 1ST BANCORP COM (CA)	12/31/2015
DELETE	46500C200	ISOAY	ISONEA LTD SPONSORED ADR (AUS)	
ADD	761229103	ISOAY	RESPIRI LTD SPONSORED ADR (AUS)	12/31/2015
DELETE	51827X101	LATNF	LATIN AMERICAN MINERALS INC COMMON (CANADA)	
ADD	51827X200	LATND	LATIN AMERN MINERALS (CANADA)	12/31/2015
DELETE	943526400	WAVX	WAVE SYS CORP CL A COM STK (DE)	
ADD	943526608	WAVX	WAVE SYS CORP CL A NEW COM STK (DE)	12/31/2015

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
