

OTC #: 029

DATE: 02/12/2015

TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,

MANAGER REORGANIZATION

ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,

MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE

LIST OF OTC CLEARED SECURITIES

FROM: UNDERWRITING

SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD***	J13314109	EZKGF	EZAKI GLICO CO LTD	02/11/2015
ADD***	J29266103	KKPCF	KAKEN PHARMACEUTICAL CO LTD ORD SHS (JPN)	02/11/2015
ADD	021477104	IDGX	ALTHEADX INC COM	02/12/2015
ADD	45780V102	ITEK	INOTEK PHARMACEUTICALS CORP COM STK (DE)	02/12/2015
ADD	45781D101	INOV	INOVALON HLDGS INC COM CL A (DE)	02/12/2015
DELETE				
DELETE	N71546100	RNA	PROSENSA HLDGS N V ORD SHS (NLD)	02/12/2015
DELETE	239359102	DWSN	DAWSON GEOPHYSICAL CO	02/12/2015

SYMBOL CHANGES

		•		,				
		29015T504	ELRAD TO: ELRA	ELRAY RESOURCES INC COM STK NEW	02/12/2015			
		440447118	HZOZD TO: HZOZF	HORIZONS BETAPRO NYMEX CRUDE OIL BULL PLUS ETF UNIT (CDA)	02/12/2015			
		487283202	KEEKD TO: KEEKF	KEEK INC COM NEW	02/12/2015			
		83084T119	SKLNW TO: SKLNV	SKYLINE MEDICAL INC. W.I. WTS EXP 02/17/2020	02/12/2015			
REORGANIZATION								
	DELETE	G7734E100	RWSHF	RWS HOLDINGS PLC ORD SHS (UK)				
	ADD ***	G7734E118	RWSHF	RWS HOLDINGS PLC (UK)	02/12/2015			
	DELETE	Q5797N131	MARXF	MARENICA ENERGY LTD ORD SHS				
	ADD ***	Q5797N149	MARXF	MARENICA ENERGY LTD (AUS)	02/12/2015			
	DELETE	Q67650103	NZEOF	NEW ZEALAND OIL & GAS LTD ORD				
	ADD ***	Q67650228	NZEOF	NEW ZEALAND OIL & GAS LTD ORD SHS (NZL)	02/12/2015			
	DELETE	Y14369105	CCCGF	CHINA COMMUNICATIONS CONSTRUCTIONS GP LTD BEIJING ORD (CHN)				
	ADD ***	Y1R36J108	CCCGF	CHINACOMMUNICATIONSCONSTRUCTI ONS GRP LTDBEIJING	02/12/2015			
	DELETE	Y51270158	LVSDF	LAI SUN DEVELOPMENT CO LTD ORD SHS				

Q9570B108 WBJTF TO: WEBJF WEBJET LTD SHS (AUS)

DELETE 46123F101 MSOVF ADD 39154B107 MSOVF

Y51270182 LVSDF

INVENIO RESOURCES CORP ORD SHS (CDA)

AFTER BOARDLOT CHANGE

GREATBANKS RES LTD COM (CDA)

LAI SUN DEVELOPMENT CO LTD ORD SHS- 02/12/2015

DELETE 872417308 TGE TGC INDUSTRIES INC-

ADD 239360100 DWSN DAWSON GEOPHYSICAL CO NEW COM STK 02/12/2015

(TX)

(HKG)

ADD ***

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com

02/12/2015

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^{* 19}C-3 Security rule

^{***} Foreign Netting Security