



Important Notice
National Securities Clearing Corporation

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|-------------------|---|
| A#: | 7983 |
| P&S #: | 7558 |
| Date: | 02/13/2015 |
| To: | ALL PARTICIPANTS |
| Attention: | OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS |
| From: | ACCOUNT ADMINISTRATION |
| Subject: | CHANGES IN THE LIST OF PARTICIPANTS |

- The following firms will retire from all services, as detailed below:

Last Trade Date, February 10, 2015:

PWMCO, LLC

No. 0467

Last Trade Date, February 27, 2015:

Forside Fund Services, LLC/Aberdeen Investment Funds-Formally Known As
Artio Global Fund

No. 6675

- The following firm will no longer participate in the Trade Comparison, Trade Recording, Obligation Warehouse, NYSE Listed, OTC Comparison and Envelope Settlement services, as detailed below:

Last Trade Date, February 12, 2015:

Lavaflow, Inc.

No. 0641

OTC Alpha Identifier:

FLOW

NYSE Alpha Identifier:

FLW

- The following additional number has been assigned to The Variable Annuity Life Insurance Company (#6455) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, February 12, 2015:

The Variable Annuity Life Ins Co/AIG Annuities-Variable and Index

No. 3179

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, February 13, 2015:

Ultimus Fund Distributors, LLC/Ryan Labs Funds

No. 3183

Contact: Doug Jones

Phone: (513) 587-3408

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, and MF – Profile services, as detailed below:

First Trade Date, February 17, 2015:

Foreside Fund Services, LLC/All Terrain Opportunity Fund No. 3185
 Contact: Darren Siverling
 Phone: (414) 299-2160

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, February 17, 2015:

Harvard Property Trust, LLC No. 6847

- Please update the NSCC Directory dated February 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

| | <u>Clearing Broker Identifiers</u> | | <u>Executing Broker Identifiers</u> | |
|--|---|----------------|--|----------------|
| | <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | <u>Numeric</u> |
| <u>Delete Last Trade Date, February 9, 2015</u> Meyers Associates L.P. | SALI | 0750 | MYRS | |
| <u>Delete Last Trade Date, February 13, 2015</u> E.H. Smith Jacobs & Co., Inc. | MLCO | 0161 | EHSC | |
| <u>Add First Trade Date, February 18, 2015</u> Merriman Capital, Inc | LGNT | 0052 | MECA | |

- Please update the NSCC Directory dated February 2015 (for OTC Executed-By List) as detailed below:

| | <u>Clearing Broker Identifiers</u> | | <u>Executing Broker Identifiers</u> | |
|---|---|----------------------|--|----------------|
| | <u>Alpha</u> | <u>Numeric</u> | <u>Alpha</u> | <u>Numeric</u> |
| <u>Delete Last Trade Date, February 11, 2015</u> Dart Executions, LLC | PRST | 0551 | DRTM | |
| <u>Delete Last Trade Date, February 12, 2015</u> Lavaflow, Inc. EWT, LLC | FLOW EWTT | 0641 0749 | GOTO EWTV | |
| <u>Delete Last Trade Date, February 13, 2015</u> Lime Brokerage LLC Dealerweb Inc. Archey & Co. LLC | MLCO MLCO LGNT | 0161 0161 0052 | LBNN DLWB JINS | |

