



<b>A#:</b>	<b>7975</b>
<b>P&amp;S#:</b>	<b>7550</b>
<b>DATE:</b>	<b>FEBRUARY 2, 2015</b>
<b>TO:</b>	<b>ALL PARTICIPANTS</b>
<b>ATTENTION:</b>	<b>MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER</b>
<b>FROM:</b>	<b>SETTLEMENT/CASHIERING</b>
<b>SUBJECT:</b>	<b>USE OF WINS (WEB INPUT NOTIFICATION SYSTEM) FOR CORE NSCC PARTICIPANT INQUERIES</b>

To provide a centralized point for questions on core NSCC services including Corporate Bond, Municipal Bond & UIT (CMU) Real Time Trade Matching (RTTM), Continuous Net Settlement (CNS), and Obligation Warehouse, all Participants\* should use WINS (Web Input Notification System) to enter their inquiry.

WINS creates a real-time inquiry that is directly assigned to the relevant NSCC working unit. WINS allows for inquiries to be monitored and handled constantly with a much tighter turnaround. WINS is strictly used to enter inquiries and does not give a user the ability to do other functions.

Advantages of using WINS:

- Centralized point of service. No need to maintain multiple NSCC contacts.
- Facilitates NSCC tracking of call response and issue resolution
- Allows NSCC to analyze client service trends and issues
- Quantifiable data leveraged for service and product improvement

Accessing WINS:

If you do not have access to WINS, please have your Super Access Coordinator (SAC) provision users with the WINS PBS function.

Your SAC can send an Entitlements request for PBS WINS functionality to [entitlements@dtcc.com](mailto:entitlements@dtcc.com)

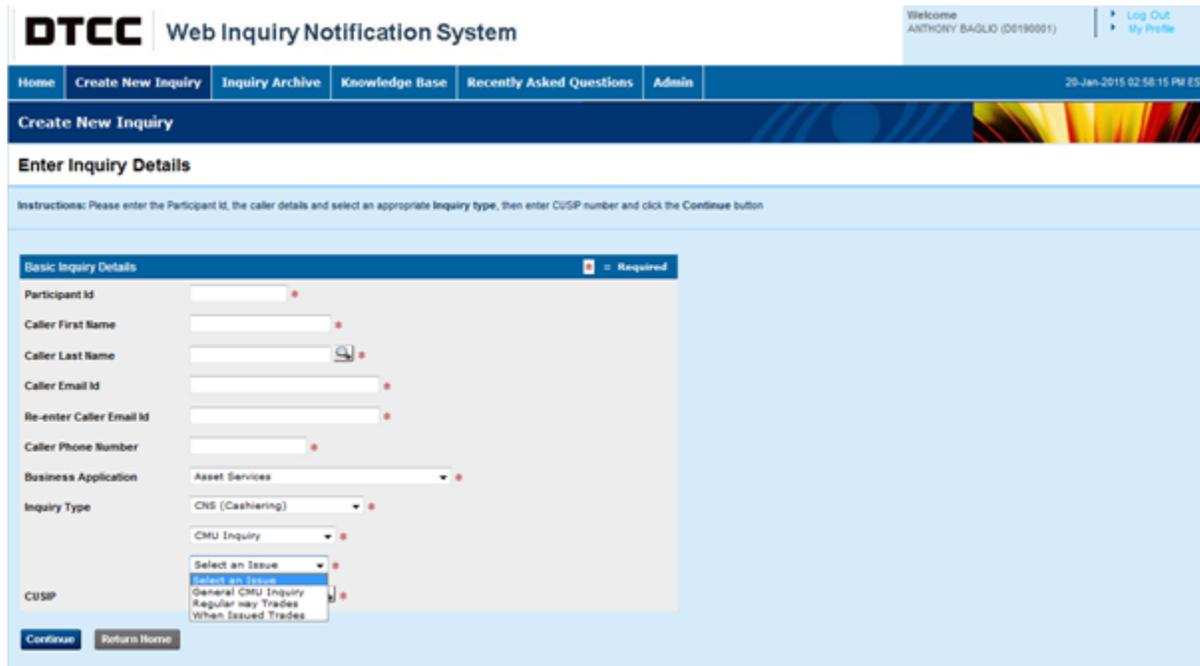
If you do not know your SAC, contact your Relationship Manager or send an email to [rmsupport@dtcc.com](mailto:rmsupport@dtcc.com).

(\*To use WINS your firm must be a DTC Participant with access to the Participant Browser Service (PBS). A NSCC Municipal Comparison Only Member who is not a DTC Participant does not have access to WINS and should contact NSCC as is currently done.)

### WINS Screen

WINS is straightforward and easy to use. Simply select Asset Servicing, CNS (Cashiering), and then the appropriate Product Type and Symptom from the dropdown menus and enter your question (example below shows a CMU Inquiry).

Category:	Asset Services	 
Sub Category:	CNS (Cashiering)	 
Product Type:	CMU Inquiry	 
Symptom:	CMU Inquiry	 



**DTCC** Web Inquiry Notification System

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### Create New Inquiry

#### Enter Inquiry Details

Instructions: Please enter the Participant Id, the caller details and select an appropriate Inquiry type, then enter CUSP number and click the Continue button

**Basic Inquiry Details** = Required

Participant Id	<input type="text"/>
Caller First Name	<input type="text"/>
Caller Last Name	<input type="text"/>
Caller Email Id	<input type="text"/>
Re-enter Caller Email Id	<input type="text"/>
Caller Phone Number	<input type="text"/>
Business Application	Asset Services
Inquiry Type	CNS (Cashiering)
	CMU Inquiry
	Select an Issue
	General CMU Inquiry
	Regular way Trades
	When Issued Trades
CUSP	<input type="text"/>