



Important Notice
National Securities Clearing Corporation

A#:	7986
P&S #:	7561
Date:	02/20/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, February 23, 2015:

Huntington Asset Services, Inc.	No.	1838
Huntington Asset Services, Inc.	No.	1843
Huntington Asset Services, Inc.	No.	3582

In connection with the above account retirements, another member firm will now assume these same numbers, as detailed below:

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, February 24, 2015:

UMB Fund Services, Inc.	No.	1838
UMB Fund Services, Inc.	No.	1843
UMB Fund Services, Inc.	No.	3582

- The following firms will retire from all services, as detailed below:

Last Trade Date, February 19, 2015:

Lavaflow, Inc.	No.	0641
----------------	-----	------

Last Trade Date, February 20, 2015:

Associated Bank, N.A.	No.	2257
-----------------------	-----	------

- The following firm will begin participation in the MF – Daily Price & Rate service, as detailed below:

First Trade Date, February 20, 2015:

Forside Funds Distributors LLC /E.I.I. Realty Securities Trust	No.	6579
--	-----	------

- The following firm will begin participation in the Invoicing and Fee Settlement: 12B-1 service, as detailed below:

First Trade Date, March 2, 2015:

Invesco Distributors, Inc. No. 5540

- The following firm will begin participation, as a Third Party Administrator, in the Fund/SERV and Networking services, as detailed below:

First Trade Date, February 20, 2015:

Great-West Life & Annuity Insurance Company No. 6952

Contact: Todd Sexton

Phone: (303) 737-5210

- The following additional number has been assigned to Northern Lights Distributors, LLC/ (#4915) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, February 20, 2015:

Northern Lights Distributors, LLC/CLA Strategic Allocation Fund No. 3188

Contact: Mike Nielsen

Phone: (402) 896-7267

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

First Trade Date, March 2, 2015:

Ultimus Fund Distributors, LLC/Galapagos Funds No. 3186

Contact: Doug Jones

Phone: (513) 587-3408

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, February 23, 2015:

UMB Fund Services, Inc. No. 6849

CIBC Bank and Trust Company (Cayman) Limited No. 6848

Opus Fund Services (USA) LLC No. 6853

First Trade Date, February 24, 2015:

U.S. Bancorp Fund Services, LLC No. 6852

- Please update the NSCC Directory dated February 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

Clearing Broker Identifiers

Executing Broker Identifiers

Alpha

Numeric

Alpha

Numeric

Delete Last Trade Date, February 24, 2015

Wells Fargo Institutional Securities, LLC

WCHV 0250

WFIS

- Please update the NSCC Directory dated February 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, February 27, 2015</u>				
Abel/Noser Corp	ECUT	0100	ANOS	
Ferretti Group, Inc.	ECUT	0100	STEP	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.