



A#:	7980
P&S#:	7556
DATE:	FEBRUARY 6, 2015
TO:	ALL MEMBERS
FROM:	PRODUCT MANAGEMENT, EQUITIES CLEARANCE
ATTENTION:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER MANAGER P&S DEPARTMENT, MANAGER DATA PROCESSING DEPARTMENT
SUBJECT:	UPDATES TO THE CNS EQUITY MASTER FILE – AUTOROUTE# 02040290

Clients are advised the National Securities Clearing Corporation (NSCC) will be implementing the following updates to the **CNS Equity Master File - Autoroute# 02040290**. These updates will become effective in production for the file that is distributed on **Monday, April 27, 2015**. Clients that consume this file are strongly advised to inform their technical staff and prepare for these updates accordingly. A copy of the file format which includes these updates is available on DTCC's Corporate Website at:

http://dtcc.com/~media/Files/Downloads/Clearing-Services/file%20formats/02040290_CNS_EQUITY_MASTER_FILE.pdf

Updates

The updates are as follows:

- 1) A new one byte field will added to an existing filler field in **Byte 79**. The new field will be entitled "Security Trading Status" and indicate if a security is eligible for trade acceptance by NSCC's Universal Trade Capture (UTC) system. The new field will include the following values:

1 = Active	The security is <u>ELIGIBLE</u> for UTC trade acceptance
2 = Suspended	The security is <u>NOT ELIGIBLE</u> for UTC trade acceptance

- 2) The daily distribution of the file will change from 4:00 p.m. eastern time to approximately 5:00 p.m. eastern time. *Note: Clients are advised to check for any internal system dependencies on this file and make the appropriate updates based upon the later distribution of the file.*

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Testing

NSCC has made available a test version of the CNS Equity Master File – **Autoroute # 02980290** in its Participant Services Environment (PSE). Clients who want to be set-up for the file must email rmsupport@dtcc.com. Please allow five to seven business days for the set-up to occur. *Please note: In PSE, the file will be distributed at the new timeframe of approximately 5:00 p.m. eastern time.*

Additional Information

A copy of this Important Notice can be obtained from the web site of NSCC's parent corporation, The Depository Trust & Clearing Corporation, at <http://www.dtcc.com>. If you have any questions regarding these enhancements, please contact your DTCC Relationship Manager.