



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	7999
<b>P&amp;S #:</b>	7574
<b>Date:</b>	03/13/2015
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, March 13, 2015:**

Forside Distribution Services LP/IMS Funds

No. 4995

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Matrix Capital Group, Inc. (#5681) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date, March 16, 2015:**

Matrix Capital Group, Inc/IMS Funds

No. 4995

Contact: Brandon Byrd

Phone: (816) 787-0718

- The following firms will retire from all services, as detailed below:

**Last Trade Date, March 12, 2015:**

Cowen Equity Finance LP

No. 0580

OTC Alpha Identifier:

CEFG

**Last Trade Date, March 17, 2015:**

Rafferty Capital Markets, LLC/Hagin Keystone Market Neutral Fund

No. 3313

- The following firm will no longer participate in the Trade Comparison, Trade Recording, Obligation Warehouse, MF – Profile, ACATS Fund/SERV, Fund/SERV, Networking, DTCC Payment aXis and Envelope Settlement services, as detailed below:

**Last Trade Date, March 12, 2015:**

USAA Investment Management Company

No. 0367

- The following firm will change its name only, as detailed below:

**First Trade Date, March 16, 2015:**

From: Metlife Insurance Company of Connecticut	No.	4583
To: Metlife Insurance Company USA	No.	4583

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, March 13, 2015:**

ALPS Distributors, Inc. /Index IQ Trust	No.	6505
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**First Trade Date, April 1, 2015:**

Foreside Distribution Services, L.P./Victory Funds	No.	5686
Quasar Distributors, LLC/LoCorr Investment Trust	No.	5569

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, March 13, 2015:**

UMB Fund Services, Inc	No.	6860
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**First Trade Date, March 18, 2015:**

UMB Fund Services, Inc.	No.	6863
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- Please update the NSCC Directory dated March 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, March 16, 2015</u></b> MBS Source eTrading, Inc.	LGNT	0052	MBSE	

- Please update the NSCC Directory dated March 2015 (for OTC Executed-By List) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change Effective First Trade Date, March 16, 2015</u></b> From: LPS Partners Inc. To: LPS Partners Inc.	SILK	0501	LPSP	
	IBFS	0824	LPSP	

**Clearing Broker and Executing Broker Name Change**

**Effective First Trade Date, March 16, 2015**

From: Merriman Curhan Ford & Co.

PERS 0443 MERI

To: Merriman Capital, Inc.

LGNT 0052 MERI

**Delete Last Trade Date, March 10, 2015**

Hampton Securities (USA), Inc.

0235 HMPT

**Add First Trade Date, March 12, 2015**

ITG Inc.

NFSC 0226 ITGF

- Please update the NSCC Directory dated March 2015 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Delete Last Trade Date, March 12, 2015**

Detwiler, Mitchell, Fenton & Graves, Inc.

NFSC 0226 FEDE

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).