



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8002
<b>P&amp;S #:</b>	7577
<b>Date:</b>	03/20/2015
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, March 18, 2015:**

USAA Investment Management Company No. 0367

**Last Trade Date, March 23, 2015:**

ALPS Distributors Inc./International Strategy & Investment Group LLC No. 5591

**Last Trade Date, March 24, 2015:**

Quasar Distributors, LLC/Loeb Capital Funds No. 7927

- The following firm will no longer participate in the NYSE Listed Comparison service, as detailed below:

**Last Trade Date, March 20, 2015:**

Rosenblatt Securities Inc. No. 0233  
NYSE Alpha Identifier RSI

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:

**First Trade Date, March 23, 2015:**

Northern Lights Distributors, LLC/HCM Tactical Growth Fund No. 3103

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, April 1, 2015:**

Saturna Brokerage Services, Inc No. 4983

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:  
**First Trade Date, March 24, 2015:**  
 Quasar Distributors LLC/Banc MDA No. 3194  
 Contact: Casey Sauer  
 Phone: (414) 203-9122

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, March 20, 2015:**  
 NexPoint Advisors LP No. 6855

**First Trade Date, March 25, 2015:**  
 Woodfield Fund Administration, LLC No. 6865

- Please update the NSCC Directory dated March 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Executing Broker Name Change Effective First Trade Date, March 18, 2015</u></b>				
From: Zacks & Company	BSSC	0352	ZACK	
To: LBMZ Securities, Inc.	BSSC	0352	ZACK	
<b><u>Delete Last Trade Date, March 18, 2015</u></b>				
Gardner Rich, L.L.C.	ECUT	0100	GDNR	
Jackson Partners & Associates, Inc.	ECUT	0100	JPTR	
Seaboard Securities, Inc.	ECUT	0100	SEAI	

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	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, March 16, 2015</u></b>				
Standard Chartered Securities (North America) Inc.	PERS	0443	SCBS	
<b><u>Delete Last Trade Date, March 18, 2015</u></b>				
Altrushare Securities LLC	ECUT	0100	ATRU	
Biremis Corporation	ECUT	0100	BRMS	
Lime Brokerage LLC	ECUT	0100	LITB	
Surge Trading Inc.	ECUT	0100	SURG	
Chopper Securities LLC	SHMR	0695	CHPR	

**Delete Last Trade Date, March 20, 2015**

Morgan Stanley & Co. LLC	MSCO	0050	MSEL
Morgan Stanley & Co. Incorporated	MSCO	0050	MSEA

**Add First Trade Date, March 18, 2015**

Keystone Capital Corporation	ETCC	0873	KSTO
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**Add First Trade Date, March 20, 2015**

Electronic Transaction Clearing, Inc.	ETCC	0873	ETDL
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**Add First Trade Date, March 23, 2015**

Livingston Monroe Capital Group Inc.	IBFS	0824	SDPT
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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, March 17, 2015</u></b> Mutual Money Investments, Inc.		SWST	0279	TRSR

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).