



Important Notice
National Securities Clearing Corporation

A#:	8011
P&S #:	7586
Date:	03/27/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, March 30, 2015:

Hartford Life Insurance Company/Life Business

No. 4658

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to The Prudential Insurance Company of America (#4596) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, March 31, 2015:

The Prudential Insurance Company of America/ILD Life

No. 4658

- The following firm will retire from all services, as detailed below:

Last Trade Date, March 26, 2015:

Rosenblatt Securities Inc.

No. 0233

- The following firm will no longer participate in the DTCC Payment aXis and MF – Daily Price and Rate services, as detailed below:

Last Trade Date, March 27, 2015:

Quasar Distributors, LLC/Shenkman Offshore Funds

No. 7059

- The following firm will no longer participate in the Networking, DTCC Payment aXis and MF – Daily Price and Rate services, as detailed below:

Last Trade Date, March 27, 2015:

Quasar Distributors, LLC/Julius Baer Offshore Funds

No. 5698

- The following firm will begin participation in the DTCC Payment aXis and Invoicing and Fee Settlement: 12B-1 services, as detailed below:

First Trade Date, April 1, 2015:

American United Life Insurance Company No. 4591

- The following firms will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, April 1, 2015:

Quasar Distributors, LLC/TCM Small Cap Growth Fund No. 4898

First Trade Date, April 2, 2015:

Arbor Court Capital LLC/Grand Prix Investors Trust No. 3030

- The following firm will begin participation in the Insurance Processing services, as detailed below:

First Trade Date, April 8, 2015:

Stockcross Financial Services, Inc. No. 0445

- The following additional number has been assigned to The Ohio National Life Insurance Company (#4526) for the purpose of utilizing Fund/SERV and DTCC Payment aXis services, as detailed below:

First Trade Date, April 1, 2015:

Ohio National Life Insurance Company/OMNI No. 3207

- The following additional number has been assigned to Hartford Life Insurance Company (#4501) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, April 1, 2015:

Hartford Life Insurance Company/ILD Retained Policies No. 3206

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, April 1, 2015:

The Bank of New York Mellon No. 6844

The Bank of New York Mellon No. 6867

- Please update the NSCC Directory dated March 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

Clearing Broker Identifiers

Executing Broker Identifiers

Alpha

Numeric

Alpha

Numeric

Add First Trade Date, April 1, 2015

William Blair & Company L.L.C.

NFSC

0226

WBNF

- Please update the NSCC Directory dated March 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change</u>				
<u>Effective First Trade Date, March 30, 2015</u>				
From: Access Securities, Inc.	SILK	0501	AXGP	
To: Access Securities, LLC	PERS	0443	AXGP	
<u>Delete Last Trade Date, March 23, 2015</u>				
Wedbush Morgan Securities Inc	WEDB	0103	WMGT	
<u>Add First Trade Date, March 24, 2015</u>				
Craft Capital Management LLC		0235	CCMM	
<u>Add First Trade Date, March 25, 2015</u>				
Wolverine Securities, LLC	SHMR	0695	WSEA	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.