



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 045
DATE: 03/09/2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER,
MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER,
MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE
LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	714110103	PRKO	PERKINS OIL & GAS IN	03/09/2015
ADD	74874U101	QPAC	QUINPARIO ACQUISITION CORP 2 COM STK (DE)	03/09/2015
ADD	74874U119	QPACW	QUINPARIO ACQUISITION CORP 2 WTS EXP 01/01/2023	03/09/2015
ADD	90274P161	UBSXF	UBS AG LONDON BRH CALL WTS LKD TO EURO STOXX 50 IDX 02/13/18	03/06/2015
DELETE				
DELETE ***	G75700123	RIVWF	RIO NOVO GOLD INC WTS EXP 03/08/2015 (VGB)	03/09/2015
DELETE	45408X209	IGCIU	INDIA GLOBALIZATION CAP INC UTS 1 COM & 2 WT EXP 3/8/13	03/09/2015
DELETE	585470107	MLGGY	MELDEX INTERNATIONAL PLC ADS REPRESENTEN	03/09/2015
DELETE	62874M104	NBTF	NB & T FINANCIAL GROUP INC	03/09/2015
DELETE	805576105	SXNOF	SAXON OIL COMPANY LTD ORD SHS (CDA)	03/09/2015

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DELETE	829890102	SFGP	SIUSLAW FINCL GROUP INC	03/09/2015
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SYMBOL CHANGES

	300362308	EVLID TO: EVLI	EVERLERT INC COM PAR \$0.001 (NV)	03/09/2015
	76760R100	PROFD TO: RYES	RISE RES INC COM STK (NV)	03/09/2015
	801063306	SIMHD TO: SIMH	SANOMEDICS INC COM	03/09/2015
	84917J104	SPOR TO: SPORQ	SPORT HALEY HLDGS INC COM STK (DE)	03/09/2015

REORGANIZATION

DELETE	42205L108	HMAX	HDIMAX MEDIA INC	
ADD	98979L104	ZONX	ZONZIA MEDIA INC	03/09/2015

DELETE	78080N108	FUND	ROYCE FOCUS TRUST INC	
ADD	85208J109	FUND	SPROTT FOCUS TR INC COM STK (MD)	03/09/2015

DELETE	86258T104	SAIDF	STRAIT MINERALS, INC ORD SHS (CDA)	
ADD	61186P102	SAIDD	MONTAN MINING CORP COM SHS	03/09/2015

DELETE	903410108	USMN	U S RARE EARTH MINERALS INC COM STK (NV)	
ADD	903410207	USMND	U S RARE EARTH MINERALS INC NEW COM STK (NV)	03/09/2015

NAME CHANGE

DELETE	092533108	BKCC	BLACKROCK KELSO CPTL CORP (US)	
ADD	092533108	BKCC	BLACKROCK CAPITAL INVESTMENT CORP COM STK	03/09/2015

* 19C-3 Security rule

*** Foreign Netting Security

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
