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| <b>B #:</b>       | 0748-15  |
| <b>Date:</b>      | April 23, 2015                                 |
| <b>To:</b>        | All Participants                               |
| <b>Category:</b>  | Operations                                     |
| <b>From:</b>      | Settlement & Asset Services Product Management |
| <b>Attention:</b> | Operations Managers/Officers/Cashiers          |
| <b>Subject:</b>   | DWAC TA Centralized Billing - TA Fee Update    |

DTCC instituted DWAC TA Centralized Billing in June, 2013. The attached spreadsheet details the current DWAC fees charged by the listed transfer agents. Clients are advised that complete details on all DWAC activities, including billing, can be found daily on both SMART/Search via the *STS Direct DEP/WT Participant Billing report*, and the DWCPAB file.

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| #  | TA NAME                       | TA NUMBERS   | DWAC DEPOSIT FEE | DWAC WITHDRAWAL FEE | START DATE |
|----|-------------------------------|--|------------------|---------------------|------------|
| 1  | Continental Stock Transfer    | 9920, 9926, 9979, 50017, 50209, 50244                  | \$ 80.00         | \$ 80.00            | 6/1/2013   |
| 2  | Wells Fargo                   | 2665   | \$ 85.00         | \$ 85.00            | 7/1/2013   |
| 3  | American Stock Transfer       | 2941, 50230  | \$ 100.00        | \$ 100.00           | 8/1/2013   |
| 4  | DB Services America, Inc      | 9033   | \$ 85.00         | \$ 85.00            | 8/1/2013   |
| 5  | First American Stock Transfer | 50140  | \$ 70.00         | \$ 70.00            | 9/1/2013   |
| 6  | BNY Mellon Corp. Trust        | 2735, 50073, 50112                                     | \$ 85.00         | \$ 85.00            | 10/1/2013  |
| 7  | Broadridge Issuer Solutions   | 50054  | \$ 100.00        | \$ 100.00           | 8/6/2014   |
| 8  | Olde Monmouth                 | 50207  | \$ 110.00        | \$ 150.00           | 8/1/2014   |
| 9  | World Wide Stock Transfer     | 50212  | \$ 100.00        | \$ 100.00           | 12/1/2014  |
| 10 | Colonial Stock Transfer       | 50221  | \$ 50.00         | \$ 50.00            | 1/1/2014   |
| 11 | Computershare                 | 50150, 50108, 50173, 50156, 50237, 50238, 50239, 50241 | \$ 90.00         | \$ 90.00            | 7/1/2014   |
| 12 | US Bank                       | 9968, 50133, 50135, 50151                              | \$ 75.00         | \$ 75.00            | 2/1/2014   |
| 13 | BNP Paribas                   | 50010  | \$ 85.00         | \$ 85.00            | 3/1/2014   |
| 14 | CSC Trust Company             | 50244  | \$ 75.00         | \$ 75.00            | 8/1/2014   |
| 15 | ClearTrust                    | 50246  | \$ 75.00         | \$ 75.00            | 11/1/2014  |
| 16 | Corporate Stock Transfer      | 50056  | \$ 80.00         | \$ 80.00            | 1/6/2015   |
| 17 | Citibank                      | 9082   | \$ 90.00         | \$ 90.00            | 2/4/2015   |
| 18 | HSBC                          | 9181   | \$ 85.00         | \$ 85.00            | 3/1/2015   |
| 19 | Citibank Agency & Trust       | 9971   | \$ 90.00         | \$ 90.00            | 4/1/2015   |

Questions regarding this memorandum can be directed to Joe Clemente, DTCC Securities Processing Product Manager, at (813) 470-1228 / [jpclemente@dtcc.com](mailto:jpclemente@dtcc.com).