



Important Notice
National Securities Clearing Corporation

A#: 8021
P&S# 7596
DATE: APRIL 28, 2015
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER; RISK MANAGERS; OPERATIONS
MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER
FROM: PRODUCT MANAGEMENT
SUBJECT: DTCC LIMIT MONITORING ENHANCEMENTS- UNASSIGNED RISK
ENTITY DRILL-DOWN SCREEN RE-ACTIVATION

As previously noted in Important Notice A#7934, P&S#7509, dated November 21, 2014, DTCC deactivated the 'Unassigned Risk Entity' drill-down screen for the position-by-trade view in order to perform system upgrades.

DTCC has completed the requisite effort, and effective Friday, May 8th, 2015, it will re-enable the 'Unassigned Risk Entity' drill-down screen for the position-by-trade view for all clients.

Additional Information

For questions about this Important Notice, contact your DTCC relationship manager, Client Account Representative or Pawel Pieczara at 212-855-5697 or at ppieczara@dtcc.com.

Pawel Pieczara
Product Management, Equities Clearance

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